

**QUARTERLY STATEMENT**

OF THE

**STANDARD LIFE INSURANCE COMPANY  
OF NEW YORK**



The**Standard**<sup>®</sup>

**OF WHITE PLAINS  
IN THE STATE OF NEW YORK**

TO THE

**INSURANCE DEPARTMENT**

OF THE

**STATE OF**

---

**FOR THE QUARTER ENDED  
SEPTEMBER 30, 2012**

**2012**

**LIFE AND ACCIDENT AND HEALTH**

**2012**



LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION

# QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2012  
OF THE CONDITION AND AFFAIRS OF THE

## Standard Life Insurance Company of New York

NAIC Group Code 1348 1348 NAIC Company Code 89009 Employer's ID Number 13-4119477  
(Current) (Prior)

Organized under the Laws of New York, State of Domicile or Port of Entry New York

Country of Domicile United States of America

Incorporated/Organized 04/24/2000 Commenced Business 01/01/2001

Statutory Home Office 360 Hamilton Avenue, Suite 210, White Plains, NY 10601-1871  
(Street and Number) (City or Town, State and Zip Code)

Main Administrative Office 360 Hamilton Avenue, Suite 210  
(Street and Number)  
White Plains, NY 10601-1871, 914-989-4400  
(City or Town, State and Zip Code) (Area Code) (Telephone Number)

Mail Address PO Box 5031, White Plains, NY 10602-5031  
(Street and Number or P.O. Box) (City or Town, State and Zip Code)

Primary Location of Books and Records 360 Hamilton Avenue, Suite 210  
(Street and Number)  
White Plains, NY 10601-1871, 971-321-7564  
(City or Town, State and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.standard-ny.com

Statutory Statement Contact Barry James Walton, 971-321-7564  
(Name) (Area Code) (Telephone Number)  
barry.walton@standard.com, 971-321-7540  
(E-mail Address) (FAX Number)

### OFFICERS

Chairman, President & Chief Executive Officer John Gregory Ness # Chief Financial Officer Floyd Fitz-Hubert Chadee  
Secretary Allison Tonia Stumbo JD Appointed Actuary Sally Ann Manafi FSA

### OTHER

Robert Michael Erickson CMA Controller

### DIRECTORS OR TRUSTEES

Marian Jane Barbarino Frederick William Buckman Stanley Russel Fallis  
Stanley John Kulesa Duane Charles McDougall John Gregory Ness #  
George Joseph Puentes John Salvatore Rivello Mary Frances Sammons  
Esther Kay Stepp

State of Oregon SS:  
County of Multnomah

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

John Gregory Ness  
Chairman, President & Chief Executive Officer

Floyd Fitz-Hubert Chadee  
Chief Financial Officer

Allison Tonia Stumbo JD  
Secretary

Subscribed and sworn to before me this 5th day of November 2012

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

Linda R. Seger  
Notary Public  
09/18/2014

## STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

## ASSETS

|  | Current Statement Date |                         |   | 4<br>December 31<br>Prior Year Net<br>Admitted Assets |
|--|------------------------|-------------------------|---|---|
|  | 1<br>Assets            | 2<br>Nonadmitted Assets | 3<br>Net Admitted Assets<br>(Cols. 1 - 2) |   |
| 1. Bonds .....   | 109,952,733            | 0                       | 109,952,733                               | 105,806,413   |
| 2. Stocks:   |                        |                         |   |   |
| 2.1 Preferred stocks .....   | 0                      | 0                       | 0   | 0   |
| 2.2 Common stocks .....  | 0                      | 0                       | 0   | 0   |
| 3. Mortgage loans on real estate:  |                        |                         |   |   |
| 3.1 First liens .....  | 108,019,477            | 0                       | 108,019,477                               | 101,010,744   |
| 3.2 Other than first liens .....   | 0                      | 0                       | 0   | 0   |
| 4. Real estate:  |                        |                         |   |   |
| 4.1 Properties occupied by the company (less \$ .....0<br>encumbrances) .....  | 0                      | 0                       | 0   | 0   |
| 4.2 Properties held for the production of income (less<br>\$ .....0 encumbrances) .....  | 0                      | 0                       | 0   | 0   |
| 4.3 Properties held for sale (less \$ .....0<br>encumbrances) .....  | 0                      | 0                       | 0   | 0   |
| 5. Cash (\$ .....4,570,791 ), cash equivalents<br>(\$ .....0 ) and short-term<br>investments (\$ .....0 ) .....  | 4,570,791              | 0                       | 4,570,791                                 | 9,988,195   |
| 6. Contract loans (including \$ .....0 premium notes) .....  | 1,746                  | 0                       | 1,746                                     | 856   |
| 7. Derivatives .....   | 0                      | 0                       | 0   | 0   |
| 8. Other invested assets .....   | 0                      | 0                       | 0   | 0   |
| 9. Receivables for securities .....  | 9,940                  | 0                       | 9,940                                     | 58,940  |
| 10. Securities lending reinvested collateral assets .....  | 0                      | 0                       | 0   | 0   |
| 11. Aggregate write-ins for invested assets .....  | 0                      | 0                       | 0   | 0   |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) .....  | 222,554,687            | 0                       | 222,554,687                               | 216,865,148   |
| 13. Title plants less \$ .....0 charged off (for Title insurers<br>only) .....   | 0                      | 0                       | 0   | 0   |
| 14. Investment income due and accrued .....  | 1,879,479              | 0                       | 1,879,479                                 | 1,750,030   |
| 15. Premiums and considerations:   |                        |                         |   |   |
| 15.1 Uncollected premiums and agents' balances in the course of collection .....   | 7,814,077              | 234,747                 | 7,579,330                                 | 4,350,311   |
| 15.2 Deferred premiums, agents' balances and installments booked but<br>deferred and not yet due (including \$ .....0<br>earned but unbilled premiums) ..... | 51,869                 | 0                       | 51,869                                    | 28,161  |
| 15.3 Accrued retrospective premiums .....  | 649,850                | 23,791                  | 626,059                                   | 482,439   |
| 16. Reinsurance:   |                        |                         |   |   |
| 16.1 Amounts recoverable from reinsurers .....   | 91,303                 | 0                       | 91,303                                    | 4,495,364   |
| 16.2 Funds held by or deposited with reinsured companies .....   | 0                      | 0                       | 0   | 0   |
| 16.3 Other amounts receivable under reinsurance contracts .....  | 0                      | 0                       | 0   | 931,378   |
| 17. Amounts receivable relating to uninsured plans .....   | 24,673                 | 0                       | 24,673                                    | 12,199  |
| 18.1 Current federal and foreign income tax recoverable and interest thereon .....   | 664,947                | 0                       | 664,947                                   | 486,540   |
| 18.2 Net deferred tax asset .....  | 4,090,496              | 2,725,809               | 1,364,687                                 | 1,655,202   |
| 19. Guaranty funds receivable or on deposit .....  | 0                      | 0                       | 0   | 0   |
| 20. Electronic data processing equipment and software .....  | 0                      | 0                       | 0   | 0   |
| 21. Furniture and equipment, including health care delivery assets<br>(\$ .....0 ) .....   | 164,349                | 164,349                 | 0   | 0   |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates .....   | 0                      | 0                       | 0   | 0   |
| 23. Receivables from parent, subsidiaries and affiliates .....   | 268,997                | 0                       | 268,997                                   | 263,109   |
| 24. Health care (\$ .....0 ) and other amounts receivable .....  | 0                      | 0                       | 0   | 0   |
| 25. Aggregate write-ins for other than invested assets .....   | 117,724                | 117,724                 | 0   | 0   |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and<br>Protected Cell Accounts (Lines 12 to 25) .....                                      | 238,372,451            | 3,266,420               | 235,106,031                               | 231,319,881   |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell<br>Accounts .....   | 0                      | 0                       | 0   | 0   |
| 28. Total (Lines 26 and 27) .....  | 238,372,451            | 3,266,420               | 235,106,031                               | 231,319,881   |
| <b>DETAILS OF WRITE-INS</b>  |                        |                         |   |   |
| 1101. ....   |                        |                         |   |   |
| 1102. ....   |                        |                         |   |   |
| 1103. ....   |                        |                         |   |   |
| 1198. Summary of remaining write-ins for Line 11 from overflow page .....  | 0                      | 0                       | 0   | 0   |
| 1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....  | 0                      | 0                       | 0   | 0   |
| 2501. Other assets .....   | 50,343                 | 50,343                  | 0   | 0   |
| 2502. IMR .....  | 60,906                 | 60,906                  | 0   | 0   |
| 2503. Prepaid expenses .....   | 6,475                  | 6,475                   | 0   | 0   |
| 2598. Summary of remaining write-ins for Line 25 from overflow page .....  | 0                      | 0                       | 0   | 0   |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....  | 117,724                | 117,724                 | 0   | 0   |

**LIABILITIES, SURPLUS AND OTHER FUNDS**

|   | 1<br>Current<br>Statement Date | 2<br>December 31<br>Prior Year |
|---|--------------------------------|--------------------------------|
| 1. Aggregate reserve for life contracts \$ 29,461,183 less \$ 0 included in Line 6.3<br>(including \$ 0 Modco Reserve)  | 29,461,183                     | 28,791,178                     |
| 2. Aggregate reserve for accident and health contracts (including \$ 0 Modco Reserve)   | 120,734,749                    | 109,170,324                    |
| 3. Liability for deposit-type contracts (including \$ 0 Modco Reserve)  | 5,931,704                      | 8,573,489                      |
| 4. Contract claims:   |                                |                                |
| 4.1 Life  | 6,357,851                      | 6,834,982                      |
| 4.2 Accident and health   | 2,556,853                      | 2,865,981                      |
| 5. Policyholders' dividends \$ 0 and coupons \$ 0 due and unpaid  | 0                              | 0                              |
| 6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:   |                                |                                |
| 6.1 Dividends apportioned for payment (including \$ 0 Modco)  | 0                              | 0                              |
| 6.2 Dividends not yet apportioned (including \$ 0 Modco)  | 0                              | 0                              |
| 6.3 Coupons and similar benefits (including \$ 0 Modco)   | 0                              | 0                              |
| 7. Amount provisionally held for deferred dividend policies not included in Line 6  | 0                              | 0                              |
| 8. Premiums and annuity considerations for life and accident and health contracts received in advance less<br>\$ 0 discount; including \$ 268,134 accident and health premiums  | 741,297                        | 1,159,493                      |
| 9. Contract liabilities not included elsewhere:   |                                |                                |
| 9.1 Surrender values on canceled contracts  | 0                              | 0                              |
| 9.2 Provision for experience rating refunds, including the liability of \$ 302,233 accident and health<br>experience rating refunds of which \$ 0 is for medical loss ratio rebate per the Public Health<br>Service Act | 329,318                        | 1,562,311                      |
| 9.3 Other amounts payable on reinsurance, including \$ 0 assumed and \$ 110,253<br>ceded  | 110,253                        | 6,780,124                      |
| 9.4 Interest Maintenance Reserve  | 0                              | 0                              |
| 10. Commissions to agents due or accrued-life and annuity contracts \$ 522,020 , accident and health<br>\$ 655,663 and deposit-type contract funds \$ 0   | 1,177,683                      | 1,406,537                      |
| 11. Commissions and expense allowances payable on reinsurance assumed   | 0                              | 0                              |
| 12. General expenses due or accrued   | 7,045,508                      | 6,931,415                      |
| 13. Transfers to Separate Accounts due or accrued (net) (including \$ 0 accrued for expense<br>allowances recognized in reserves, net of reinsured allowances)  | 0                              | 0                              |
| 14. Taxes, licenses and fees due or accrued, excluding federal income taxes   | 698,827                        | 361,991                        |
| 15.1 Current federal and foreign income taxes, including \$ (84,462) on realized capital gains (losses)   | 0                              | 0                              |
| 15.2 Net deferred tax liability   | 0                              | 0                              |
| 16. Unearned investment income  | 0                              | 0                              |
| 17. Amounts withheld or retained by company as agent or trustee   | 317,597                        | 146,198                        |
| 18. Amounts held for agents' account, including \$ 0 agents' credit balances  | 0                              | 4,529                          |
| 19. Remittances and items not allocated   | 826,748                        | 597,740                        |
| 20. Net adjustment in assets and liabilities due to foreign exchange rates  | 0                              | 0                              |
| 21. Liability for benefits for employees and agents if not included above   | 0                              | 0                              |
| 22. Borrowed money \$ 0 and interest thereon \$ 0   | 0                              | 0                              |
| 23. Dividends to stockholders declared and unpaid   | 0                              | 0                              |
| 24. Miscellaneous liabilities:  |                                |                                |
| 24.01 Asset valuation reserve   | 1,457,817                      | 1,263,088                      |
| 24.02 Reinsurance in unauthorized companies   | 0                              | 0                              |
| 24.03 Funds held under reinsurance treaties with unauthorized reinsurers  | 0                              | 0                              |
| 24.04 Payable to parent, subsidiaries and affiliates  | 0                              | 0                              |
| 24.05 Drafts outstanding  | 0                              | 0                              |
| 24.06 Liability for amounts held under uninsured plans  | 5,034                          | 5,034                          |
| 24.07 Funds held under coinsurance  | 0                              | 0                              |
| 24.08 Derivatives   | 0                              | 0                              |
| 24.09 Payable for securities  | 0                              | 0                              |
| 24.10 Payable for securities lending  | 0                              | 0                              |
| 24.11 Capital notes \$ 0 and interest thereon \$ 0  | 0                              | 0                              |
| 25. Aggregate write-ins for liabilities   | 1,033,345                      | 1,041,465                      |
| 26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)  | 178,785,767                    | 177,495,879                    |
| 27. From Separate Accounts Statement  | 0                              | 0                              |
| 28. Total liabilities (Lines 26 and 27)   | 178,785,767                    | 177,495,879                    |
| 29. Common capital stock  | 2,000,000                      | 2,000,000                      |
| 30. Preferred capital stock   | 0                              | 0                              |
| 31. Aggregate write-ins for other than special surplus funds  | 0                              | 0                              |
| 32. Surplus notes   | 0                              | 0                              |
| 33. Gross paid in and contributed surplus   | 45,450,000                     | 45,450,000                     |
| 34. Aggregate write-ins for special surplus funds   | 0                              | 948,818                        |
| 35. Unassigned funds (surplus)  | 8,870,264                      | 5,425,184                      |
| 36. Less treasury stock, at cost:   |                                |                                |
| 36.1 0 shares common (value included in Line 29 \$ 0 )  | 0                              | 0                              |
| 36.2 0 shares preferred (value included in Line 30 \$ 0 )   | 0                              | 0                              |
| 37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ 0 in Separate Accounts Statement)   | 54,320,264                     | 51,824,002                     |
| 38. Totals of Lines 29, 30 and 37   | 56,320,264                     | 53,824,002                     |
| 39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)   | 235,106,031                    | 231,319,881                    |
| <b>DETAILS OF WRITE-INS</b>   |                                |                                |
| 2501. Other liabilities   | 1,033,345                      | 1,041,465                      |
| 2502.   |                                |                                |
| 2503.   |                                |                                |
| 2598. Summary of remaining write-ins for Line 25 from overflow page   | 0                              | 0                              |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)   | 1,033,345                      | 1,041,465                      |
| 3101.   |                                |                                |
| 3102.   |                                |                                |
| 3103.   |                                |                                |
| 3198. Summary of remaining write-ins for Line 31 from overflow page   | 0                              | 0                              |
| 3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)   | 0                              | 0                              |
| 3401. Special Surplus associated with SSAP 10R  | 0                              | 948,818                        |
| 3402.   |                                |                                |
| 3403.   |                                |                                |
| 3498. Summary of remaining write-ins for Line 34 from overflow page   | 0                              | 0                              |
| 3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)   | 0                              | 948,818                        |

**SUMMARY OF OPERATIONS**

|  | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|--|------------------------------|----------------------------|--------------------------------------|
| 1. Premiums and annuity considerations for life and accident and health contracts  | 76,078,899                   | 49,216,594                 | 65,904,622                           |
| 2. Considerations for supplementary contracts with life contingencies  | 0                            | 0                          | 0                                    |
| 3. Net investment income   | 8,775,300                    | 8,194,511                  | 10,956,358                           |
| 4. Amortization of Interest Maintenance Reserve (IMR)  | 45,421                       | 53,816                     | 162,875                              |
| 5. Separate Accounts net gain from operations excluding unrealized gains or losses   | 0                            | 0                          | 0                                    |
| 6. Commissions and expense allowances on reinsurance ceded   | 0                            | 0                          | 0                                    |
| 7. Reserve adjustments on reinsurance ceded  | 0                            | 2,321,258                  | 4,066,107                            |
| 8. Miscellaneous Income:   |                              |                            |                                      |
| 8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts  | 0                            | 0                          | 0                                    |
| 8.2 Charges and fees for deposit-type contracts  | 0                            | 0                          | 0                                    |
| 8.3 Aggregate write-ins for miscellaneous income   | 24,769                       | 46,056                     | 60,022                               |
| 9. Totals (Lines 1 to 8.3)   | 84,924,389                   | 59,832,235                 | 81,149,984                           |
| 10. Death benefits   | 26,895,782                   | 16,038,454                 | 21,573,483                           |
| 11. Matured endowments (excluding guaranteed annual pure endowments)   | 0                            | 0                          | 0                                    |
| 12. Annuity benefits   | 0                            | 0                          | 0                                    |
| 13. Disability benefits and benefits under accident and health contracts   | 25,359,736                   | 15,089,229                 | 20,538,948                           |
| 14. Coupons, guaranteed annual pure endowments and similar benefits  | 0                            | 0                          | 0                                    |
| 15. Surrender benefits and withdrawals for life contracts  | 234                          | 7,238                      | 7,238                                |
| 16. Group conversions  | 0                            | 0                          | 0                                    |
| 17. Interest and adjustments on contract or deposit-type contract funds  | 45,508                       | 88,919                     | 99,683                               |
| 18. Payments on supplementary contracts with life contingencies  | 0                            | 0                          | 0                                    |
| 19. Increase in aggregate reserves for life and accident and health contracts  | 12,234,430                   | 10,632,751                 | 18,095,654                           |
| 20. Totals (Lines 10 to 19)  | 64,535,690                   | 41,856,591                 | 60,315,006                           |
| 21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)  | 6,641,983                    | 6,249,507                  | 8,324,591                            |
| 22. Commissions and expense allowances on reinsurance assumed  | 0                            | 0                          | 0                                    |
| 23. General insurance expenses   | 6,271,815                    | 6,151,496                  | 8,282,226                            |
| 24. Insurance taxes, licenses and fees, excluding federal income taxes   | 2,626,891                    | 2,678,590                  | 3,557,410                            |
| 25. Increase in loading on deferred and uncollected premiums   | 36,723                       | 39,613                     | 17,345                               |
| 26. Net transfers to or (from) Separate Accounts net of reinsurance  | 0                            | 0                          | 0                                    |
| 27. Aggregate write-ins for deductions   | 1,020                        | 3,529                      | 3,652                                |
| 28. Totals (Lines 20 to 27)  | 80,114,122                   | 56,979,326                 | 80,500,230                           |
| 29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)   | 4,810,267                    | 2,852,909                  | 649,754                              |
| 30. Dividends to policyholders   | 0                            | 0                          | 0                                    |
| 31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)  | 4,810,267                    | 2,852,909                  | 649,754                              |
| 32. Federal and foreign income taxes incurred (excluding tax on capital gains)   | 1,525,110                    | 1,346,107                  | 1,551,329                            |
| 33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)                         | 3,285,157                    | 1,506,802                  | (901,575)                            |
| 34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ (4,727) (excluding taxes of \$ 11,210 transferred to the IMR) | 4,727                        | (586,070)                  | (441,126)                            |
| 35. Net income (Line 33 plus Line 34)  | 3,289,884                    | 920,732                    | (1,342,701)                          |
| <b>CAPITAL AND SURPLUS ACCOUNT</b>   |                              |                            |                                      |
| 36. Capital and surplus, December 31, prior year   | 53,824,002                   | 55,309,798                 | 55,309,798                           |
| 37. Net income (Line 35)   | 3,289,884                    | 920,732                    | (1,342,701)                          |
| 38. Change in net unrealized capital gains (losses) less capital gains tax of \$ (45,050)  | (83,665)                     | 78,883                     | 78,883                               |
| 39. Change in net unrealized foreign exchange capital gain (loss)  | 0                            | 0                          | 0                                    |
| 40. Change in net deferred income tax  | (95,881)                     | 534,687                    | 1,395,511                            |
| 41. Change in nonadmitted assets   | (419,348)                    | (465,978)                  | (1,570,588)                          |
| 42. Change in liability for reinsurance in unauthorized companies  | 0                            | 0                          | 0                                    |
| 43. Change in reserve on account of change in valuation basis, (increase) or decrease  | 0                            | 0                          | 0                                    |
| 44. Change in asset valuation reserve  | (194,728)                    | (41,383)                   | (332,759)                            |
| 45. Change in treasury stock   | 0                            | 0                          | 0                                    |
| 46. Surplus (contributed to) withdrawn from Separate Accounts during period  | 0                            | 0                          | 0                                    |
| 47. Other changes in surplus in Separate Accounts Statement  | 0                            | 0                          | 0                                    |
| 48. Change in surplus notes  | 0                            | 0                          | 0                                    |
| 49. Cumulative effect of changes in accounting principles  | 0                            | 0                          | 0                                    |
| 50. Capital changes:   |                              |                            |                                      |
| 50.1 Paid in   | 0                            | 0                          | 0                                    |
| 50.2 Transferred from surplus (Stock Dividend)   | 0                            | 0                          | 0                                    |
| 50.3 Transferred to surplus  | 0                            | 0                          | 0                                    |
| 51. Surplus adjustment:  |                              |                            |                                      |
| 51.1 Paid in   | 0                            | 0                          | 0                                    |
| 51.2 Transferred to capital (Stock Dividend)   | 0                            | 0                          | 0                                    |
| 51.3 Transferred from capital  | 0                            | 0                          | 0                                    |
| 51.4 Change in surplus as a result of reinsurance  | 0                            | 0                          | 0                                    |
| 52. Dividends to stockholders  | 0                            | 0                          | 0                                    |
| 53. Aggregate write-ins for gains and losses in surplus  | 0                            | 58,171                     | 285,858                              |
| 54. Net change in capital and surplus for the year (Lines 37 through 53)   | 2,496,262                    | 1,085,112                  | (1,485,796)                          |
| 55. Capital and surplus, as of statement date (Lines 36 + 54)  | 56,320,264                   | 56,394,910                 | 53,824,002                           |
| <b>DETAILS OF WRITE-INS</b>  |                              |                            |                                      |
| 08.301. Miscellaneous income   | 24,769                       | 46,056                     | 60,022                               |
| 08.302. ....   |                              |                            |                                      |
| 08.303. ....   |                              |                            |                                      |
| 08.398. Summary of remaining write-ins for Line 8.3 from overflow page   | 0                            | 0                          | 0                                    |
| 08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)  | 24,769                       | 46,056                     | 60,022                               |
| 2701. Miscellaneous expenses   | 1,020                        | 3,529                      | 3,652                                |
| 2702. ....   |                              |                            |                                      |
| 2703. ....   |                              |                            |                                      |
| 2798. Summary of remaining write-ins for Line 27 from overflow page  | 0                            | 0                          | 0                                    |
| 2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)  | 1,020                        | 3,529                      | 3,652                                |
| 5301. Special Surplus associated with SSAP 10R   | 0                            | 58,171                     | 285,858                              |
| 5302. ....   |                              |                            |                                      |
| 5303. ....   |                              |                            |                                      |
| 5398. Summary of remaining write-ins for Line 53 from overflow page  | 0                            | 0                          | 0                                    |
| 5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)  | 0                            | 58,171                     | 285,858                              |

## STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**CASH FLOW**

|   | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| <b>Cash from Operations</b>   |                              |                            |                                      |
| 1. Premiums collected net of reinsurance .....  | 71,736,018                   | 48,067,235                 | 65,492,395                           |
| 2. Net investment income .....  | 8,791,979                    | 8,220,911                  | 11,142,010                           |
| 3. Miscellaneous income .....   | 24,769                       | 46,056                     | 60,022                               |
| 4. Total (Lines 1 to 3) .....   | 80,552,766                   | 56,334,202                 | 76,694,427                           |
| 5. Benefit and loss related payments .....  | 55,353,329                   | 28,958,178                 | 37,474,682                           |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....                                | 0                            | 0                          | 0                                    |
| 7. Commissions, expenses paid and aggregate write-ins for deductions .....  | 15,275,210                   | 14,911,343                 | 19,320,006                           |
| 8. Dividends paid to policyholders .....  | 0                            | 0                          | 0                                    |
| 9. Federal and foreign income taxes paid (recovered) net of \$ ..... 0 tax on capital<br>gains (losses) .....               | 1,710,000                    | 0                          | (183,969)                            |
| 10. Total (Lines 5 through 9) .....   | 72,338,539                   | 43,869,521                 | 56,610,719                           |
| 11. Net cash from operations (Line 4 minus Line 10) .....   | 8,214,227                    | 12,464,681                 | 20,083,709                           |
| <b>Cash from Investments</b>  |                              |                            |                                      |
| 12. Proceeds from investments sold, matured or repaid:  |                              |                            |                                      |
| 12.1 Bonds .....  | 8,336,544                    | 6,560,796                  | 9,973,952                            |
| 12.2 Stocks .....   | 0                            | 0                          | 0                                    |
| 12.3 Mortgage loans .....   | 5,123,326                    | 3,580,351                  | 6,369,217                            |
| 12.4 Real estate .....  | 0                            | 0                          | 0                                    |
| 12.5 Other invested assets .....  | 0                            | 0                          | 0                                    |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....                                       | 0                            | 0                          | 0                                    |
| 12.7 Miscellaneous proceeds .....   | 49,000                       | 0                          | 0                                    |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7) .....   | 13,508,870                   | 10,141,147                 | 16,343,169                           |
| 13. Cost of investments acquired (long-term only):  |                              |                            |                                      |
| 13.1 Bonds .....  | 12,596,575                   | 13,413,549                 | 21,496,440                           |
| 13.2 Stocks .....   | 0                            | 0                          | 0                                    |
| 13.3 Mortgage loans .....   | 12,261,163                   | 7,882,400                  | 12,250,900                           |
| 13.4 Real estate .....  | 0                            | 0                          | 0                                    |
| 13.5 Other invested assets .....  | 0                            | 0                          | 0                                    |
| 13.6 Miscellaneous applications .....   | 0                            | 0                          | 58,940                               |
| 13.7 Total investments acquired (Lines 13.1 to 13.6) .....  | 24,857,738                   | 21,295,949                 | 33,806,280                           |
| 14. Net increase (or decrease) in contract loans and premium notes .....  | 890                          | 828                        | 830                                  |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....   | (11,349,758)                 | (11,155,630)               | (17,463,941)                         |
| <b>Cash from Financing and Miscellaneous Sources</b>  |                              |                            |                                      |
| 16. Cash provided (applied):  |                              |                            |                                      |
| 16.1 Surplus notes, capital notes .....   | 0                            | 0                          | 0                                    |
| 16.2 Capital and paid in surplus, less treasury stock .....   | 0                            | 0                          | 0                                    |
| 16.3 Borrowed funds .....   | 0                            | 0                          | 0                                    |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities .....   | (2,641,785)                  | (4,706)                    | 7,184                                |
| 16.5 Dividends to stockholders .....  | 0                            | 0                          | 0                                    |
| 16.6 Other cash provided (applied) .....  | 359,911                      | 86,384                     | (297,563)                            |
| 17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5<br>plus Line 16.6) ..... | (2,281,874)                  | 81,678                     | (290,379)                            |
| <b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>  |                              |                            |                                      |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..                      | (5,417,405)                  | 1,390,729                  | 2,329,388                            |
| 19. Cash, cash equivalents and short-term investments:  |                              |                            |                                      |
| 19.1 Beginning of year .....  | 9,988,195                    | 7,658,807                  | 7,658,807                            |
| 19.2 End of period (Line 18 plus Line 19.1) .....   | 4,570,791                    | 9,049,536                  | 9,988,195                            |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

|  |  |  |  |
|--|--|--|--|
|  |  |  |  |
|--|--|--|--|

## EXHIBIT 1

### DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

|   | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| 1. Industrial life .....  | 0                            | 0                          | 0                                    |
| 2. Ordinary life insurance .....  | 259,849                      | 270,287                    | 335,191                              |
| 3. Ordinary individual annuities .....                                    | 0                            | 0                          | 0                                    |
| 4. Credit life (group and individual) .....                               | 0                            | 0                          | 0                                    |
| 5. Group life insurance .....   | 32,510,909                   | 31,530,913                 | 43,230,171                           |
| 6. Group annuities .....  | 0                            | 0                          | 0                                    |
| 7. A & H - group .....  | 40,277,977                   | 42,236,593                 | 55,556,893                           |
| 8. A & H - credit (group and individual) .....                            | 0                            | 0                          | 0                                    |
| 9. A & H - other .....  | 0                            | 0                          | 0                                    |
| 10. Aggregate of all other lines of business .....                        | 0                            | 0                          | 0                                    |
| 11. Subtotal .....  | 73,048,735                   | 74,037,793                 | 99,122,255                           |
| 12. Deposit-type contracts .....  | 0                            | 0                          | 0                                    |
| 13. Total   | 73,048,735                   | 74,037,793                 | 99,122,255                           |
| DETAILS OF WRITE-INS  |                              |                            |                                      |
| 1001. ....  |                              |                            |                                      |
| 1002. ....  |                              |                            |                                      |
| 1003. ....  |                              |                            |                                      |
| 1098. Summary of remaining write-ins for Line 10 from overflow page ..... | 0                            | 0                          | 0                                    |
| 1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)           | 0                            | 0                          | 0                                    |

## NOTES TO FINANCIAL STATEMENTS

### 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

#### A. Accounting Practices

The Standard Life Insurance Company of New York ("Company") commenced business in October 2000. The Company currently markets group life, accidental death and dismemberment, dental, vision, Lasik, and disability income insurance products in New York through brokers and its own representatives. Group accident and health insurance was the first product licensed for sale in New York, followed by group life insurance in 2002, dental insurance in 2003 and vision and Lasik coverage in 2009 to complement the Company's insurance products to employer groups.

The accompanying statutory basis financial statements of the Company have been prepared in conformity with accounting practices prescribed or permitted by the New York State Department of Financial Services ("Department"). Only statutory accounting practices so prescribed or permitted by the State of New York can be used in determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under New York Insurance Law.

The National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual, ("NAIC SAP"), including the Statements of Statutory Accounting Principles ("SSAP") as updated by the NAIC, has been adopted with some exceptions by the Department.

A reconciliation of the Company's net income and capital and surplus between NAIC SSAP and practices prescribed and permitted by the State of New York in accordance with Appendix A-205 of the Accounting Manual for the period and prior year-end is shown below:

| (In thousands)  | <u>September 30, 2012</u> | <u>December 31, 2011</u> |
|---|---------------------------|--------------------------|
| <b><u>Net Income</u></b>                                      |                           |                          |
| Statutory Net Income, New York basis                          | \$ 3,290                  | \$ (1,343)               |
| State Prescribed Practices that increase/(decrease) NAIC SAP: | -                         | -                        |
| State Permitted Practices that increase/(decrease) NAIC SAP:  | -                         | -                        |
| Net Income in conformity with NAIC SAP                        | \$ 3,290                  | \$ (1,343)               |
| <b><u>Surplus</u></b>   |                           |                          |
| Statutory capital and surplus, New York basis                 | \$ 56,320                 | \$ 53,824                |
| State Prescribed Practices that increase/(decrease) NAIC SAP: | -                         | -                        |
| State Permitted Practices that increase/(decrease) NAIC SAP:  | -                         | -                        |
| Statutory capital and surplus in conformity with NAIC SAP     | \$ 56,320                 | \$ 53,824                |

#### B. Use of Estimates

No significant change

#### C. Accounting Policies

No significant change

### 2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

#### A. Material Changes in Accounting Principles and/or Corrections of Errors

The Company adopted SSAP No. 101, *Income Taxes, A Replacement of SSAP No. 10R and SSAP No. 10*, as of January 1, 2012. SSAP No. 101 determines the amount of deferred tax assets ("DTAs") which can be admitted based upon a three component admission calculation. One of the calculations is based on the Risk Based Capital ("RBC") Authorized Control Level ("ACL") ratio calculated without net deferred tax assets. If the ACL ratio is greater than 300%, then the DTAs can be admitted for three years. If the ratio is between 200% and 300%, then the DTAs can be admitted for one year. If the ACL ratio is less than 200%, then no DTAs can be admitted. As a result of adopting SSAP No. 101, \$949 thousand of additional admitted DTAs is no longer reported in 2012 on Page 3, line 34, Aggregate write-ins for special surplus funds, but is now included on Page 3, line 35, Unassigned funds (surplus). There was no change in assets or surplus as a result of adopting SSAP No. 101.

### 3. BUSINESS COMBINATIONS AND GOODWILL

Not applicable

### 4. DISCONTINUED OPERATIONS

Not applicable

### 5. INVESTMENTS

During the first nine months of 2012, the Company purchased \$12.3 million in mortgage loans and \$12.6 million in bonds. Mortgage loans represent 48.5% and bonds represent 49.4% of total cash and invested assets as of September 30, 2012. The Company had two restructured mortgage loans totaling \$22 thousand, net of the specific reserve of \$44 thousand, as of September 30, 2012.

#### D. Loan-backed Securities

- 1) Not applicable
- 2) Not applicable
- 3) Not applicable
- 4) Not applicable
- 5) Not applicable



## NOTES TO FINANCIAL STATEMENTS

## 6. JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

Not applicable

## 7. INVESTMENT INCOME

No significant change

## 8. DERIVATIVE INSTRUMENTS

Not applicable

## 9. INCOME TAXES

The Company adopted SSAP No. 101 as of January 1, 2012. SSAP No. 101 determines the amount of DTAs which can be admitted based upon a three component admission calculation. One of the calculations is based on the RBC ACL ratio calculated without net deferred tax assets. If the ACL ratio is greater than 300%, the DTAs can be admitted for three years. If the ratio is between 200% and 300%, DTAs can be admitted for one year. If the ACL ratio is less than 200%, no DTAs can be admitted.

## 10. INFORMATION CONCERNING PARENT, SUBSIDIARIES AND AFFILIATES

The Company purchases mortgage loans from its affiliate, Standard Insurance Company ("SIC"), which are originated by the Company's affiliate StanCorp Mortgage Investors, LLC ("SMI"). The Company purchased \$12.3 million in mortgage loans from SIC during the nine months ended September 30, 2012. No mortgage loans were sold during the first nine months of 2012.

The Company reported the following amounts due from its parent or affiliates:

| (In thousands)                   | <u>September 30, 2012</u> | <u>December 31, 2011</u> |
|----------------------------------|---------------------------|--------------------------|
| Standard Insurance Company       | \$ 253                    | \$ 258                   |
| StanCorp Mortgage Investors, LLC | 16                        | 5                        |
|                                  | <u>\$ 269</u>             | <u>\$ 263</u>            |

Amounts due to or from the Company and its parent, subsidiaries or affiliates are generally settled monthly and accrue interest if not settled within 30 days. For the intercompany tax agreement, amounts are generally settled within 90 days after the tax return is filed and accrues interest if it is not settled.

Effective January 1, 2012, the modified coinsurance agreement with SIC was terminated, resulting in a 40% increase to premiums and claims.

## 11. DEBT

Not applicable

## 12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS

No significant change

## 13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS

No significant change

## 14. CONTINGENCIES

In the normal course of business, the Company can be involved in various legal actions and other state and federal proceedings. There was one legal action pending at September 30, 2012. In some instances, lawsuits may include claims for punitive damages and similar types of relief in unspecified or substantial amounts, in addition to amounts for alleged contractual liability or other compensatory damages. In the opinion of management, the ultimate liability, if any, arising from these actions or proceedings is not expected to have a material adverse effect on the Company's business, financial position, results of operations, or cash flows.

## 15. LEASES

No significant change

## 16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

No significant change

## NOTES TO FINANCIAL STATEMENTS

### 17. SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

#### C. Wash Sales

- 1) In the course of the company's asset management, securities may be sold and reacquired within 30 days of the sale date to enhance the company's yield on its investment portfolio.
- 2) No wash sales of NAIC designation 3 or below securities, or unrated securities, occurred during the quarter ended September 30, 2012.

### 18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED A & H PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

No significant change

### 19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS

No significant change

### 20. Fair Value

#### A.

- 1) The Company does not have any assets or liabilities reported at fair value.
- 2) The Company does not have any assets or liabilities categorized within Level 3 of the fair value hierarchy.
- 3) Not applicable.
- 4) Not applicable.
- 5) The Company does not have any derivative assets or liabilities.

#### C.

| (In thousands)<br>Type of financial instrument | Aggregate<br>Fair Value | Admitted<br>Assets | Level 1 | Level 2    | Level 3 | Not Practicable<br>(Carrying Value) |
|--|-------------------------|--------------------|---------|------------|---------|-------------------------------------|
| Bonds  | \$ 120,749              | \$ 109,953         | \$ -    | \$ 120,749 | \$ -    | \$ -                                |
| Commercial mortgage loans                      | 122,791                 | 108,019            | -       | -          | 122,791 | -                                   |

D. Not applicable.

### 21. OTHER ITEMS

No significant change

### 22. EVENTS SUBSEQUENT

Type I Subsequent events have been considered through November 5, 2012 for the statutory statement issued as of November 15, 2012.

There were no subsequent events to be reported.

Type II Subsequent events have been considered through November 5, 2012 for the statutory statement issued as of November 15, 2012.

There were no subsequent events to be reported.

### 23. REINSURANCE

No significant change

### 24. RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION

No significant change

### 25. CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES

Reserves as of January 1, 2012 were \$117.8 million. As of September 30, 2012, \$17.7 million has been paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years as of September 30, 2012 were \$105.6 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on group long term disability insurance. There was a \$5.4 million increase of prior year development from January 1, 2012 to September 30, 2012. Original estimates are increased or decreased as additional information becomes known regarding individual claims. Prior years' effects are combined with current experience in determining retrospective premium, premium refunds and renewal rates for the larger group contracts.

**NOTES TO FINANCIAL STATEMENTS**

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26. INTERCOMPANY POOLING ARRANGEMENTS

Not applicable

27. STRUCTURED SETTLEMENTS

Not applicable

28. HEALTH CARE RECEIVABLES

Not applicable

29. PARTICIPATING POLICIES

Not applicable

30. PREMIUM DEFICIENCY RESERVES

The Company held no premium deficiency reserves as of September 30, 2012.

31. RESERVES FOR LIFE CONTRACTS AND DEPOSIT-TYPE CONTRACTS

No significant change

32. ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT TYPE LIABILITIES BY WITHDRAWAL CHARACTERISTICS

No significant change

33. PREMIUM AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED

No significant change

34. SEPARATE ACCOUNTS

Not applicable

35. LOSS/CLAIM ADJUSTMENT EXPENSES

No significant change

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
3. Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]  
 If yes, complete the Schedule Y - Part 1 - organizational chart.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1<br>Name of Entity | 2<br>NAIC Company Code | 3<br>State of Domicile |
|---------------------|------------------------|------------------------|
|                     |                        |                        |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
 If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2009
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2009
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 09/12/2011
- 6.4 By what department or departments?  
 New York State Department of Financial Services
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

| 1<br>Affiliate Name                     | 2<br>Location (City, State) | 3<br>FRB | 4<br>OCC | 5<br>FDIC | 6<br>SEC |
|---|-----------------------------|----------|----------|-----------|----------|
| StanCorp Equities, Inc. ....            | Portland, OR .....          | NO       | NO       | NO        | YES      |
| StanCorp Investment Advisers, Inc. .... | Portland, OR .....          | NO       | NO       | NO        | YES      |

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**GENERAL INTERROGATORIES**

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No   
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No   
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....\$ .....0

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No   
 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: .....\$ .....0  
 13. Amount of real estate and mortgages held in short-term investments: .....\$ .....0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No   
 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ .....0  | \$ .....0   |
| 14.22 Preferred Stock .....   | \$ .....0  | \$ .....0   |
| 14.23 Common Stock .....  | \$ .....0  | \$ .....0   |
| 14.24 Short-Term Investments .....  | \$ .....0  | \$ .....0   |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....0  | \$ .....0   |
| 14.26 All Other .....   | \$ .....0  | \$ .....0   |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ .....0  | \$ .....0   |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....0  | \$ .....0   |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No   
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No   
 If no, attach a description with this statement.

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**GENERAL INTERROGATORIES**

16. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1<br>Name of Custodian(s) | 2<br>Custodian Address                      |
|---------------------------|---|
| BNY Mellon .....          | One Wall Street<br>New York, NY 10286 ..... |

- 16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1<br>Name(s) | 2<br>Location(s) | 3<br>Complete Explanation(s) |
|--------------|------------------|------------------------------|
|              |                  |                              |

- 16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter? ..... Yes [ ] No [ X ]
- 16.4 If yes, give full information relating thereto:

| 1<br>Old Custodian | 2<br>New Custodian | 3<br>Date of Change | 4<br>Reason |
|--------------------|--------------------|---------------------|-------------|
|                    |                    |                     |             |

- 16.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

| 1<br>Central Registration Depository | 2<br>Name(s)                            | 3<br>Address                                     |
|--------------------------------------|---|--|
| 110228 .....                         | StanCorp Investment Advisers, Inc. .... | 1100 SW Sixth Avenue<br>Portland, OR 97201 ..... |

- 17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? ..... Yes [ X ] No [ ]
- 17.2 If no, list exceptions:

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**GENERAL INTERROGATORIES**

**PART 2 - LIFE & HEALTH**

|   | 1   |                    |
|---|---|--------------------|
|   | Amount  |                    |
| 1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: |   |                    |
| 1.1 Long-Term Mortgages In Good Standing  |   |                    |
| 1.11 Farm Mortgages .....   | \$  | 0                  |
| 1.12 Residential Mortgages .....  | \$  | 0                  |
| 1.13 Commercial Mortgages .....   | \$  | 107,997,001        |
| 1.14 Total Mortgages in Good Standing .....   | \$  | <u>107,997,001</u> |
| 1.2 Long-Term Mortgages In Good Standing with Restructured Terms  |   |                    |
| 1.21 Total Mortgages in Good Standing with Restructured Terms .....   | \$  | <u>22,476</u>      |
| 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months                                |   |                    |
| 1.31 Farm Mortgages .....   | \$  | 0                  |
| 1.32 Residential Mortgages .....  | \$  | 0                  |
| 1.33 Commercial Mortgages .....   | \$  | 0                  |
| 1.34 Total Mortgages with Interest Overdue more than Three Months .....   | \$  | <u>0</u>           |
| 1.4 Long-Term Mortgage Loans in Process of Foreclosure  |   |                    |
| 1.41 Farm Mortgages .....   | \$  | 0                  |
| 1.42 Residential Mortgages .....  | \$  | 0                  |
| 1.43 Commercial Mortgages .....   | \$  | 0                  |
| 1.44 Total Mortgages in Process of Foreclosure .....  | \$  | <u>0</u>           |
| 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) .....              | \$  | <u>108,019,477</u> |
| 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter                      |   |                    |
| 1.61 Farm Mortgages .....   | \$  | 0                  |
| 1.62 Residential Mortgages .....  | \$  | 0                  |
| 1.63 Commercial Mortgages .....   | \$  | 0                  |
| 1.64 Total Mortgages Foreclosed and Transferred to Real Estate .....  | \$  | <u>0</u>           |
| 2. Operating Percentages:   |   |                    |
| 2.1 A&H loss percent .....  |   | 87.460 %           |
| 2.2 A&H cost containment percent .....  |   | 0.000 %            |
| 2.3 A&H expense percent excluding cost containment expenses .....   |   | 22.083 %           |
| 3.1 Do you act as a custodian for health savings accounts? .....  | Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ] |                    |
| 3.2 If yes, please provide the amount of custodial funds held as of the reporting date .....                      | \$  | 0                  |
| 3.3 Do you act as an administrator for health savings accounts? .....   | Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ] |                    |
| 3.4 If yes, please provide the balance of the funds administered as of the reporting date .....                   | \$  | 0                  |

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

| 1<br>NAIC<br>Company<br>Code | 2<br>Federal<br>ID Number | 3<br>Effective<br>Date | 4<br>Name of Reinsurer | 5<br>Domiciliary Jurisdiction | 6<br>Type of<br>Reinsurance<br>Ceded | 7<br>Is Insurer<br>Authorized?<br>(Yes or No) |
|------------------------------|---------------------------|------------------------|------------------------|-------------------------------|--------------------------------------|---|
| <b>NONE</b>                  |                           |                        |                        |                               |                                      |   |



STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year To Date - Allocated by States and Territories

| States, Etc.   | 1<br>Active Status | Life Contracts               |                             | Direct Business Only   |                           |                                | 7<br>Deposit-Type Contracts |
|--|--------------------|------------------------------|-----------------------------|--|---------------------------|--------------------------------|-----------------------------|
|  |                    | 2<br>Life Insurance Premiums | 3<br>Annuity Considerations | 4<br>Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees | 5<br>Other Considerations | 6<br>Total Columns 2 Through 5 |                             |
| 1. Alabama   | AL                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 2. Alaska  | AK                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 3. Arizona   | AZ                 | N                            | 1,809                       | .0   | .0                        | 1,809                          | .0                          |
| 4. Arkansas  | AR                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 5. California  | CA                 | N                            | 6,394                       | .0   | .0                        | 6,394                          | .0                          |
| 6. Colorado  | CO                 | N                            | 1,851                       | .0   | .0                        | 1,851                          | .0                          |
| 7. Connecticut   | CT                 | N                            | 3,768                       | .0   | .0                        | 3,768                          | .0                          |
| 8. Delaware  | DE                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 9. District of Columbia  | DC                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 10. Florida  | FL                 | N                            | 10,428                      | .0   | .0                        | 10,428                         | .0                          |
| 11. Georgia  | GA                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 12. Hawaii   | HI                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 13. Idaho  | ID                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 14. Illinois   | IL                 | N                            | 3,111                       | .0   | .0                        | 3,111                          | .0                          |
| 15. Indiana  | IN                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 16. Iowa   | IA                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 17. Kansas   | KS                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 18. Kentucky   | KY                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 19. Louisiana  | LA                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 20. Maine  | ME                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 21. Maryland   | MD                 | N                            | 9,277                       | .0   | .0                        | 9,277                          | .0                          |
| 22. Massachusetts  | MA                 | N                            | 2,685                       | .0   | .0                        | 2,685                          | .0                          |
| 23. Michigan   | MI                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 24. Minnesota  | MN                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 25. Mississippi  | MS                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 26. Missouri   | MO                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 27. Montana  | MT                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 28. Nebraska   | NE                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 29. Nevada   | NV                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 30. New Hampshire  | NH                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 31. New Jersey   | NJ                 | N                            | 15,735                      | .0   | .0                        | 15,735                         | .0                          |
| 32. New Mexico   | NM                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 33. New York   | NY                 | L                            | 32,705,558                  | .0   | 40,277,977                | 72,983,535                     | .0                          |
| 34. North Carolina   | NC                 | N                            | 5,935                       | .0   | .0                        | 5,935                          | .0                          |
| 35. North Dakota   | ND                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 36. Ohio   | OH                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 37. Oklahoma   | OK                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 38. Oregon   | OR                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 39. Pennsylvania   | PA                 | N                            | 457                         | .0   | .0                        | 457                            | .0                          |
| 40. Rhode Island   | RI                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 41. South Carolina   | SC                 | N                            | 3,352                       | .0   | .0                        | 3,352                          | .0                          |
| 42. South Dakota   | SD                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 43. Tennessee  | TN                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 44. Texas  | TX                 | N                            | (82)                        | .0   | .0                        | (82)                           | .0                          |
| 45. Utah   | UT                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 46. Vermont  | VT                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 47. Virginia   | VA                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 48. Washington   | WA                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 49. West Virginia  | WV                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 50. Wisconsin  | WI                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 51. Wyoming  | WY                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 52. American Samoa   | AS                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 53. Guam   | GU                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 54. Puerto Rico  | PR                 | N                            | 481                         | .0   | .0                        | 481                            | .0                          |
| 55. U.S. Virgin Islands  | VI                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 56. Northern Mariana Islands   | MP                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 57. Canada   | CN                 | N                            | .0                          | .0   | .0                        | .0                             | .0                          |
| 58. Aggregate Other Aliens   | OT                 | XXX                          | .0                          | .0   | .0                        | .0                             | .0                          |
| 59. Subtotal   | (a) 1              |                              | 32,770,758                  | .0   | 40,277,977                | 73,048,735                     | .0                          |
| 90. Reporting entity contributions for employee benefits plans                             | XXX                |                              | .0                          | .0   | .0                        | .0                             | .0                          |
| 91. Dividends or refunds applied to purchase paid-up additions and annuities               | XXX                |                              | .0                          | .0   | .0                        | .0                             | .0                          |
| 92. Dividends or refunds applied to shorten endowment or premium paying period             | XXX                |                              | .0                          | .0   | .0                        | .0                             | .0                          |
| 93. Premium or annuity considerations waived under disability or other contract provisions | XXX                |                              | .0                          | .0   | .0                        | .0                             | .0                          |
| 94. Aggregate or other amounts not allocable by State                                      | XXX                |                              | .0                          | .0   | .0                        | .0                             | .0                          |
| 95. Totals (Direct Business)   | XXX                |                              | 32,770,758                  | .0   | 40,277,977                | 73,048,735                     | .0                          |
| 96. Plus Reinsurance Assumed   | XXX                |                              | .0                          | .0   | .0                        | .0                             | .0                          |
| 97. Totals (All Business)  | XXX                |                              | 32,770,758                  | .0   | 40,277,977                | 73,048,735                     | .0                          |
| 98. Less Reinsurance Ceded   | XXX                |                              | 3,779,021                   | .0   | 5,145,458                 | 8,924,479                      | .0                          |
| 99. Totals (All Business) less Reinsurance Ceded   | XXX                |                              | 28,991,736                  | .0   | 35,132,519                | 64,124,255                     | .0                          |
| DETAILS OF WRITE-INS   |                    |                              |                             |  |                           |                                |                             |
| 5801.  | XXX                |                              |                             |  |                           |                                |                             |
| 5802.  | XXX                |                              |                             |  |                           |                                |                             |
| 5803.  | XXX                |                              |                             |  |                           |                                |                             |
| 5898. Summary of remaining write-ins for Line 58 from overflow page                        | XXX                |                              | .0                          | .0   | .0                        | .0                             | .0                          |
| 5899. Totals (Lines 5801 through 5803 plus 5898)(Line 58 above)                            | XXX                |                              | 0                           | 0  | 0                         | 0                              | 0                           |
| 9401.  | XXX                |                              |                             |  |                           |                                |                             |
| 9402.  | XXX                |                              |                             |  |                           |                                |                             |
| 9403.  | XXX                |                              |                             |  |                           |                                |                             |
| 9498. Summary of remaining write-ins for Line 94 from overflow page                        | XXX                |                              | .0                          | .0   | .0                        | .0                             | .0                          |
| 9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)                            | XXX                |                              | 0                           | 0  | 0                         | 0                              | 0                           |

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMEBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATION CHART**

| <b>Company</b>                                  | <b>FEIN</b> | <b>NAIC</b> | <b>State</b> | <b>Ownership</b>       |
|---|-------------|-------------|--------------|------------------------|
| StanCorp Financial Group, Inc ("SFG")           | 93-1253576  |             | OR           |                        |
| The Standard Life Insurance Company of New York | 13-4119477  | 89009       | NY           | 100% owned by SFG      |
| Standard Insurance Company                      | 93-0242990  | 69019       | OR           | 100% owned by SFG      |
| Standard Management, Inc. ("SM, Inc.")          | 93-0928203  |             | OR           | 100% owned by SFG      |
| Emerald Fuel LLC                                | 27-2896556  |             | OR           | 100% owned by SM, Inc. |
| Emerald Retail LLC                              | 27-2896675  |             | OR           | 100% owned by SM, Inc. |
| StanCorp Equities, Inc.                         | 93-0930972  |             | OR           | 100% owned by SFG      |
| StanCorp Investment Advisers, Inc.              | 93-1296382  |             | OR           | 100% owned by SFG      |
| Standard Retirement Services, Inc.              | 25-1838406  |             | OR           | 100% owned by SFG      |
| Stancorp Mortgage Investors, LLC                | 93-1191029  |             | OR           | 100% owned by SFG      |
| StanCorp Mortgage Investors Pass-Through, LLC   | 26-1758088  |             | OR           | 100% owned by SFG      |
| StanCorp Real Estate, LLC ("SRE")               | 93-1191030  |             | OR           | 100% owned by SFG      |
| 7 Upper Newport Plaza LLC                       | 27-3026658  |             | OR           | 100% owned by SRE      |
| 2809 West Broadway LLC                          | 27-3034980  |             | OR           | 100% owned by SRE      |
| 3305 Peachtree Industrial Blvd LLC              | 37-1574842  |             | OR           | 51% owned by SRE       |
| 7924 Victoria Drive LLC                         | 27-1433940  |             | OR           | 66% owned by SRE       |
| 1300 Westwood Avenue LLC                        | 45-4432062  |             | OR           | 100% owned by SRE      |
| 1901-1915 Whitcomb Street LLC                   | 27-1430092  |             | OR           | 51% owned by SRE       |
| 580 Parkson Road LLC                            | 27-0444732  |             | OR           | 26.01% owned by SRE    |
| 2716 South Sixth Avenue LLC                     | 27-1261902  |             | OR           | 26.01% owned by SRE    |
| 74824 Lennon Place LLC                          | 27-1561612  |             | OR           | 51% owned by SRE       |
| 1801 Chamberlayne Avenue LLC                    | 27-1561807  |             | OR           | 81.44% owned by SRE    |
| 1160 South Elm Road LLC                         | 27-1460055  |             | OR           | 100% owned by SRE      |
| 3501 Lapeer Road LLC                            | 27-1460023  |             | OR           | 100% owned by SRE      |
| 2429 Nicollet Avenue South LLC                  | 27-1071824  |             | OR           | 100% owned by SRE      |
| 2301 Avenue J LLC                               | 27-0469845  |             | OR           | 100% owned by SRE      |
| 8910 Astronaut Boulevard LLC                    | 27-3016823  |             | OR           | 75.5% owned by SRE     |
| 7076 Corporate Way LLC                          | 45-4412758  |             | OR           | 100% owned by SRE      |
| 835 Beachway Drive LLC                          | 27-3633174  |             | OR           | 51% owned by SRE       |
| 811 South Brawley Avenue LLC                    | 27-0442855  |             | OR           | 57.5% owned by SRE     |
| 4696 Overland Road LLC                          | 27-4067623  |             | OR           | 100% owned by SRE      |
| 1701 Dalton Road LLC                            | 27-4120143  |             | OR           | 51% owned by SRE       |
| 1353 Ransom Road LLC                            | 27-1071735  |             | OR           | 100% owned by SRE      |
| 100 Ville Drive LLC                             | 27-4419403  |             | OR           | 100% owned by SRE      |

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMEBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATION CHART**

| <b>Company</b>   | <b>FEIN</b> | <b>NAIC</b> | <b>State</b> | <b>Ownership</b>    |
|--|-------------|-------------|--------------|---------------------|
| 24155 Drake Road LLC                                       | 27-4437475  |             | OR           | 100% owned by SRE   |
| 8195 Dexter Road LLC                                       | 27-4420364  |             | OR           | 100% owned by SRE   |
| 1857 Paterson Avenue LLC                                   | 27-4594698  |             | OR           | 51% owned by SRE    |
| 16200 East River Road LLC                                  | 27-4593098  |             | OR           | 100% owned by SRE   |
| 4688 Golden Pond Park Court LLC                            | 27-0444658  |             | OR           | 51% owned by SRE    |
| 3600 West Vegas Drive LLC                                  | 27-5012068  |             | OR           | 100% owned by SRE   |
| 333 Buckhead Avenue LLC                                    | 27-5447799  |             | OR           | 51% owned by SRE    |
| 16000 West 9 Mile Road LLC                                 | 45-2323959  |             | OR           | 100% owned by SRE   |
| 1627 East 18th Street and 1808 N Boise Avenue LLC          | 45-2440011  |             | OR           | 51% owned by SRE    |
| Commercial Office Union Street South LLC                   | 45-2591776  |             | OR           | 51% owned by SRE    |
| 9 and 17-21 Union Street North LLC                         | 45-2493973  |             | OR           | 80% owned by SRE    |
| West Congress Office, LLC                                  | 45-2439866  |             | OR           | 51% owned by SRE    |
| 220 South Claybrook Street LLC                             | 45-2585116  |             | OR           | 100% owned by SRE   |
| 2560 28th Street LLC                                       | 45-2592371  |             | OR           | 51% owned by SRE    |
| 1301 Riverwoods Drive LLC                                  | 45-2754808  |             | OR           | 100% owned by SRE   |
| 1130 East March Lane LLC                                   | 45-2972593  |             | OR           | 51% owned by SRE    |
| 5701 Shingle Creek Parkway LLC                             | 45-2876368  |             | OR           | 51% owned by SRE    |
| 8910 Research Blvd LLC                                     | 45-3193763  |             | OR           | 51% owned by SRE    |
| 13091 Pond Springs Road LLC                                | 45-3193834  |             | OR           | 51% owned by SRE    |
| 854 Rockaway Avenue LLC                                    | 26-4600874  |             | OR           | 51% owned by SRE    |
| 5439 South Decatur Boulevard LLC                           | 45-4345308  |             | OR           | 100% owned by SRE   |
| 4120 15th Street LLC                                       | 45-3850451  |             | OR           | 100% owned by SRE   |
| 14250 Burnhaven Drive LLC                                  | 45-2606175  |             | OR           | 69.23% owned by SRE |
| 2716 Dixie Highway LLC                                     | 45-3707942  |             | OR           | 51% owned by SRE    |
| 651 North Cherokee Lane LLC                                | 45-3322846  |             | OR           | 51% owned by SRE    |
| 21 Lawrence Paquette Industrial Drive LLC                  | 27-4330298  |             | OR           | 100% owned by SRE   |
| 1381-1399 Florin Road LLC                                  | 45-3789788  |             | OR           | 100% owned by SRE   |
| 425 Peachtree Hills Avenue Units 11 and 12 LLC             | 45-3642881  |             | OR           | 80% owned by SRE    |
| 425 Peachtree Hills Avenue Units 29C, 30C, 31C and 31B LLC | 45-3647008  |             | OR           | 80% owned by SRE    |
| 425 Peachtree Hills Avenue Units 29A, 30B, and 31A LLC     | 45-3649739  |             | OR           | 80% owned by SRE    |
| 4005 and 4035 El Capitan Way LLC                           | 45-3038154  |             | OR           | 51% owned by SRE    |
| 9109 Piipin Road LLC                                       | 45-4778576  |             | OR           | 51% owned by SRE    |
| 1751 East Ohio Pike LLC                                    | 45-4778632  |             | OR           | 51% owned by SRE    |
| 2169 Tuley Road LLC  | 45-4778668  |             | OR           | 51% owned by SRE    |

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMEBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATION CHART**

| <b>Company</b>  | <b>FEIN</b> | <b>NAIC</b> | <b>State</b> | <b>Ownership</b>    |
|---|-------------|-------------|--------------|---------------------|
| 5747 State Route 128 LLC  | 45-4783675  |             | OR           | 51% owned by SRE    |
| 5942 Peoples Lane LLC   | 45-4789789  |             | OR           | 51% owned by SRE    |
| 4011 Bramblewood Drive LLC  | 45-4789829  |             | OR           | 51% owned by SRE    |
| 1166 South Sage Drive LLC   | 45-4798917  |             | OR           | 100% owned by SRE   |
| 711 North Main Street LLC   | 45-4806242  |             | OR           | 100% owned by SRE   |
| 1427-1515 SE 122nd Avenue LLC   | 45-5222646  |             | OR           | 65.7% owned by SRE  |
| 5305 East 18th Street LLC   | 45-5222606  |             | OR           | 100% owned by SRE   |
| 10605-10710 Deme Drive LLC  | 45-4789896  |             | OR           | 100% owned by SRE   |
| 5817 and 5841 Thunderbird Road LLC                                    | 45-4789863  |             | OR           | 100% owned by SRE   |
| 1425 Richmond Road LLC  | 45-5114517  |             | OR           | 65.7% owned by SRE  |
| 3393 Warrensville Center Road LLC                                     | 27-0442567  |             | OR           | 26.01% owned by SRE |
| 349 Northern Boulevard LLC  | 45-4979444  |             | OR           | 51% owned by SRE    |
| 2500 Industrial Avenue LLC  | 45-5248741  |             | OR           | 51% owned by SRE    |
| 2570 Industrial Way LLC   | 45-5248641  |             | OR           | 100% owned by SRE   |
| 7200 State Road 52 LLC  | 45-5530914  |             | OR           | 100% owned by SRE   |
| 4260 Sisk Road LLC  | 46-0548523  |             | OR           | 100% owned by SRE   |
| 8652 Jake Carlton Road LLC  | 45-5520043  |             | OR           | 100% owned by SRE   |
| 2760 Avalon Boulevard LLC   | 27-3422189  |             | OR           | 100% owned by SRE   |
| 20373 W Central Avenue LLC  | 27-3428556  |             | OR           | 100% owned by SRE   |
| 2385 South Ferdon Boulevard LLC                                       | 27-3422372  |             | OR           | 100% owned by SRE   |
| 3301 S. Ferdon Boulevard LLC  | 27-3422636  |             | OR           | 100% owned by SRE   |
| 5661 Highway 4 LLC  | 27-3422779  |             | OR           | 100% owned by SRE   |
| 2902 Pat Thomas Parkway LLC   | 00-0000000  |             | OR           | 100% owned by SRE   |
| 4062 W Lafayette LLC  | 27-3428027  |             | OR           | 100% owned by SRE   |
| 3040 North W Street LLC   | 27-3428284  |             | OR           | 100% owned by SRE   |
| Adaptu, LLC   | 27-3296886  |             | OR           | 100% owned by SFG   |
| Stonemill Business Park   | 93-1289767  |             | OR           | 100% owned by SFG   |
| Standard Insurance Company Continuing Health & Welfare Benefits Trust | 93-1097066  |             | OR           | 100% owned by SFG   |
| Standard Insurance Company Employee Health & Welfare Benefits Trust   | 93-1097064  |             | OR           | 100% owned by SFG   |
| The Standard Charitable Foundation                                    | 20-3997125  |             | OR           | 100% owned by SFG   |

## STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

## SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1          | 2                              | 3                 | 4                 | 5            | 6          | 7  | 8   | 9                     | 10                               | 11   | 12   | 13   | 14   | 15 |
|------------|--------------------------------|-------------------|-------------------|--------------|------------|--|---|-----------------------|----------------------------------|--|--|--|--|----|
| Group Code | Group Name                     | NAIC Company Code | Federal ID Number | Federal RSSD | CIK        | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates                           | Domi-ciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | *  |
| 1348       | StanCorp Financial Group, Inc. |                   | 93-1253576        |              | 0000879088 | New York Stock Exchange  | StanCorp Financial Group, Inc.  | OR                    |                                  |  | Board of Directors   | 0.000                                      | StanCorp Financial Group, Inc.             |    |
| 1348       | StanCorp Financial Group, Inc. | .89009            | 13-4119477        |              |            |  | The Standard Life Insurance Company of New York                       | NY                    | JA                               | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
| 1348       | StanCorp Financial Group, Inc. | .69019            | 93-0242990        |              |            |  | Standard Insurance Company  | OR                    | JA                               | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 93-0928203        |              |            |  | Standard Management, Inc.   | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 93-0930972        |              |            |  | StanCorp Equities, Inc.   | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 93-1191029        |              |            |  | StanCorp Mortgage Investors, LLC                                      | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 93-1296382        |              |            |  | StanCorp Investment Advisers, Inc.                                    | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 25-1838406        |              |            |  | Standard Retirement Services, Inc.                                    | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-3296886        |              |            |  | Adaptu, LLC   | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 93-1289767        |              |            |  | Stonemill Business Park   | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 93-1097066        |              |            |  | Standard Insurance Company Continuing Health & Welfare Benefits Trust | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 93-1097064        |              |            |  | Standard Insurance Company Employee Health & Welfare Benefits Trust   | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 20-3997125        |              |            |  | The Standard Charitable Foundation                                    | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 26-1758088        |              |            |  | StanCorp Mortgage Investors Pass-Through, LLC                         | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 93-1191030        |              |            |  | StanCorp Real Estate, LLC   | OR                    | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-3026658        |              |            |  | 7 Upper Newport Plaza LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-3034980        |              |            |  | 2809 West Broadway LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 37-1574842        |              |            |  | 3305 Peachtree Industrial Blvd LLC                                    | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 51.000                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-1433940        |              |            |  | 7924 Victoria Drive LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 66.000                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 45-4432062        |              |            |  | 1300 Westwood Avenue LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-1430092        |              |            |  | 1901-1915 Whitcomb Street LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 51.000                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-0444732        |              |            |  | 580 Parkson Road LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 26.010                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-1261902        |              |            |  | 2716 South Sixth Avenue LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 26.010                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-1561612        |              |            |  | 74824 Lennon Place LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 51.000                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-1561807        |              |            |  | 1801 Chamberlayne Avenue LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 81.440                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-1460055        |              |            |  | 1160 South Elm Road LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-1460023        |              |            |  | 3501 Lapeer Road LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-1071824        |              |            |  | 2429 Nicolle Avenue South LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-0469845        |              |            |  | 2301 Avenue J LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-3016823        |              |            |  | 8910 Astronaut Boulevard LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 75.500                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 45-4412758        |              |            |  | 7076 Corporate Way LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-3633174        |              |            |  | 835 Beachway Drive LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 51.000                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-0442855        |              |            |  | 811 South Brawley Avenue LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 57.500                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-4067623        |              |            |  | 4696 Overland Road LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-4120143        |              |            |  | 1701 Dalton Road LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 51.000                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-1071735        |              |            |  | 1353 Ransom Road LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-4419403        |              |            |  | 100 Ville Drive LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-4437475        |              |            |  | 24155 Drake Road LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-4420364        |              |            |  | 8195 Dexter Road LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-4594698        |              |            |  | 1857 Paterson Avenue LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 51.000                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-4593098        |              |            |  | 16200 East River Road LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-0444658        |              |            |  | 4688 Golden Pond Park Court LLC                                       | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 51.000                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-5012068        |              |            |  | 3600 West Vegas Drive LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 27-5447799        |              |            |  | 333 Buckhead Avenue LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 51.000                                     | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 45-2323959        |              |            |  | 16000 West 9 Mile Road LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |                                |                   | 45-2440011        |              |            |  | 1627 East 18th Street and 1808 N Boise Avenue LLC                     | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 51.000                                     | StanCorp Financial Group, Inc.             |    |

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

| 1          | 2          | 3                 | 4                 | 5            | 6   | 7  | 8  | 9                     | 10                               | 11   | 12   | 13   | 14   | 15 |
|------------|------------|-------------------|-------------------|--------------|-----|--|--|-----------------------|----------------------------------|--|--|--|--|----|
| Group Code | Group Name | NAIC Company Code | Federal ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates                | Domi-ciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | *  |
|            |            |                   | 45-2591776        |              |     |  | Commercial Office Union Street South LLC                   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-2493973        |              |     |  | 9 and 17-21 Union Street North LLC                         | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .80.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-2439866        |              |     |  | West Congress Office, LLC                                  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-2585116        |              |     |  | 220 South Claybrook Street LLC                             | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-2592371        |              |     |  | 2560 28th Street LLC                                       | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-2754808        |              |     |  | 1301 Riverwoods Drive LLC                                  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-2972593        |              |     |  | 1130 East March Lane LLC                                   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-2876368        |              |     |  | 5701 Shingle Creek Parkway LLC                             | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-3193763        |              |     |  | 8910 Research Blvd LLC                                     | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-3193834        |              |     |  | 13091 Pond Springs Road LLC                                | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 26-4600874        |              |     |  | 854 Rockaway Avenue LLC                                    | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4345308        |              |     |  | 5439 South Decatur Boulevard LLC                           | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-3850451        |              |     |  | 4120 15th Street LLC                                       | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-2606175        |              |     |  | 14250 Burnhaven Drive LLC                                  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .69.230                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-3707942        |              |     |  | 2716 Dixie Highway LLC                                     | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-3322846        |              |     |  | 651 North Cherokee Lane LLC                                | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 27-4330298        |              |     |  | 21 Lawrence Paquette Industrial Drive LLC                  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-3789788        |              |     |  | 1381-1399 Florin Road LLC                                  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-3642881        |              |     |  | 425 Peachtree Hills Avenue Units 11 and 12 LLC             | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .80.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-3647008        |              |     |  | 425 Peachtree Hills Avenue Units 29C, 30C, 31C and 31B LLC | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .80.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-3649739        |              |     |  | 425 Peachtree Hills Avenue Units 29A, 30B, and 31A LLC     | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .80.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-3038154        |              |     |  | 4005 and 4035 El Capitan Way LLC                           | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4778576        |              |     |  | 9109 Piipin Road LLC                                       | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4778632        |              |     |  | 1751 East Ohio Pike LLC                                    | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4778668        |              |     |  | 2169 Tuley Road LLC  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4783675        |              |     |  | 5747 State Route 128 LLC                                   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4789789        |              |     |  | 5942 Peoples Lane LLC                                      | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4789829        |              |     |  | 4011 Bramblewood Drive LLC                                 | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4798917        |              |     |  | 1166 South Sage Drive LLC                                  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4806242        |              |     |  | 711 North Main Street LLC                                  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-5222646        |              |     |  | 1427-1515 SE 122nd Avenue LLC                              | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .65.700                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-5222606        |              |     |  | 5305 East 18th Street LLC                                  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4789896        |              |     |  | 10605-10710 Deme Drive LLC                                 | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4789863        |              |     |  | 5817 and 5841 Thunderbird Road LLC                         | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-5114517        |              |     |  | 1425 Richmond Road LLC                                     | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .65.700                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 27-0442567        |              |     |  | 3393 Warrensville Center Road LLC                          | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .26.010                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-4979444        |              |     |  | 349 Northern Boulevard LLC                                 | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-5248741        |              |     |  | 2500 Industrial Avenue LLC                                 | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .51.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-5248641        |              |     |  | 2570 Industrial Way LLC                                    | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-5530914        |              |     |  | 7200 State Road 52 LLC                                     | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 46-0548523        |              |     |  | 4260 Sisk Road LLC   | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 45-5520043        |              |     |  | 8652 Jake Carlton Road LLC                                 | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 27-3422189        |              |     |  | 2760 Avalon Boulevard LLC                                  | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 27-3428556        |              |     |  | 20373 W Central Avenue LLC                                 | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 27-3422372        |              |     |  | 2385 South Ferdon Boulevard LLC                            | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 27-3422636        |              |     |  | 3301 S. Ferdon Boulevard LLC                               | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | .100.000                                   | StanCorp Financial Group, Inc.             |    |

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

| 1          | 2          | 3                 | 4                 | 5            | 6   | 7  | 8   | 9                     | 10                               | 11   | 12   | 13   | 14   | 15 |
|------------|------------|-------------------|-------------------|--------------|-----|--|---|-----------------------|----------------------------------|--|--|--|--|----|
| Group Code | Group Name | NAIC Company Code | Federal ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | *  |
|            |            |                   | 27-3422779        |              |     |  | 5661 Highway 4 LLC                          | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 00-0000000        |              |     |  | 2902 Pat Thomas Parkway LLC                 | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 27-3428027        |              |     |  | 4062 W Lafayette LLC                        | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 27-3428284        |              |     |  | 3040 North W Street LLC                     | OR                    | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 27-2896556        |              |     |  | Emerald Fuel LLC                            | OR                    | NIA                              | Standard Management, Inc                       | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |
|            |            |                   | 27-2896675        |              |     |  | Emerald Retail LLC                          | OR                    | NIA                              | Standard Management, Inc                       | Ownership  | 100.000                                    | StanCorp Financial Group, Inc.             |    |

| Asterisk | Explanation |
|----------|-------------|
|          |             |

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

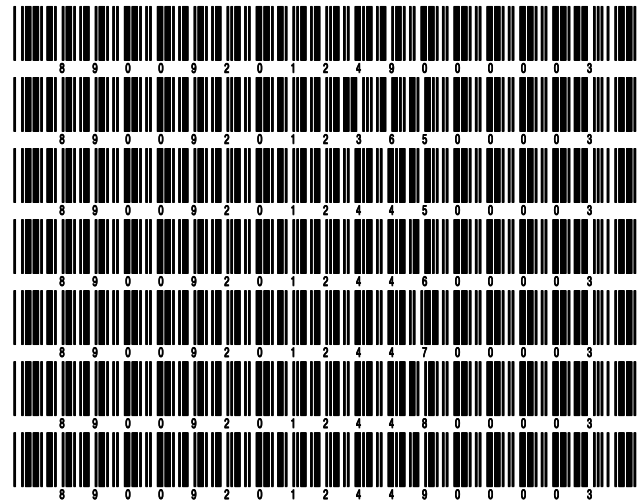
|  | Response |
|--|----------|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....   | NO       |
| 2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....  | NO       |
| 3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....   | NO       |
| 4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....                                 | NO       |
| 5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....             | NO       |
| 6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? ..... | NO       |
| 7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....         | NO       |

Explanation:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]





**NONE**

**SCHEDULE A - VERIFICATION**

Real Estate

|  | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year                           |                   |                                      |
| 2. Cost of acquired:   |                   |                                      |
| 2.1 Actual cost at time of acquisition   |                   |                                      |
| 2.2 Additional investment made after acquisition                                     |                   |                                      |
| 3. Current year change in encumbrances   |                   |                                      |
| 4. Total gain (loss) on disposals  |                   |                                      |
| 5. Deduct amounts received on disposals  |                   |                                      |
| 6. Total foreign exchange change in book/adjusted carrying value                     |                   |                                      |
| 7. Deduct current year's other than temporary impairment recognized                  |                   |                                      |
| 8. Deduct current year's depreciation  |                   |                                      |
| 9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) |                   |                                      |
| 10. Deduct total nonadmitted amounts   |                   |                                      |
| 11. Statement value at end of current period (Line 9 minus Line 10)                  |                   |                                      |

NONE

**SCHEDULE B - VERIFICATION**

Mortgage Loans

|   | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|---|-------------------|--------------------------------------|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year                             | 101,017,158       | 95,738,845                           |
| 2. Cost of acquired:  |                   |                                      |
| 2.1 Actual cost at time of acquisition  | 12,255,047        | 12,250,900                           |
| 2.2 Additional investment made after acquisition  | 6,116             | 0                                    |
| 3. Capitalized deferred interest and other  | 0                 | 0                                    |
| 4. Accrual of discount  | 0                 | 0                                    |
| 5. Unrealized valuation increase (decrease)   | 0                 | 0                                    |
| 6. Total gain (loss) on disposals   | 0                 | (602,835)                            |
| 7. Deduct amounts received on disposals   | 5,123,326         | 6,369,217                            |
| 8. Deduct amortization of premium and mortgage interest points and commitment fees                                  | 389               | 535                                  |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest                       | 0                 | 0                                    |
| 10. Deduct current year's other than temporary impairment recognized  | 0                 | 0                                    |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | 108,154,606       | 101,017,158                          |
| 12. Total valuation allowance   | (135,129)         | (6,414)                              |
| 13. Subtotal (Line 11 plus Line 12)   | 108,019,477       | 101,010,744                          |
| 14. Deduct total nonadmitted amounts  | 0                 | 0                                    |
| 15. Statement value at end of current period (Line 13 minus Line 14)  | 108,019,477       | 101,010,744                          |

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

|  | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year                             |                   |                                      |
| 2. Cost of acquired:   |                   |                                      |
| 2.1 Actual cost at time of acquisition   |                   |                                      |
| 2.2 Additional investment made after acquisition                                       |                   |                                      |
| 3. Capitalized deferred interest and other   |                   |                                      |
| 4. Accrual of discount   |                   |                                      |
| 5. Unrealized valuation increase (decrease)  |                   |                                      |
| 6. Total gain (loss) on disposals  |                   |                                      |
| 7. Deduct amounts received on disposals  |                   |                                      |
| 8. Deduct amortization of premium and depreciation                                     |                   |                                      |
| 9. Total foreign exchange change in book/adjusted carrying value                       |                   |                                      |
| 10. Deduct current year's other than temporary impairment recognized                   |                   |                                      |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) |                   |                                      |
| 12. Deduct total nonadmitted amounts   |                   |                                      |
| 13. Statement value at end of current period (Line 11 minus Line 12)                   |                   |                                      |

NONE

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

|   | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year      | 105,806,413       | 94,341,459                           |
| 2. Cost of bonds and stocks acquired  | 12,596,575        | 21,496,440                           |
| 3. Accrual of discount  | 106,290           | 132,296                              |
| 4. Unrealized valuation increase (decrease)   | 0                 | 0                                    |
| 5. Total gain (loss) on disposals   | 32,028            | 202,180                              |
| 6. Deduct consideration for bonds and stocks disposed of                            | 8,336,544         | 9,973,952                            |
| 7. Deduct amortization of premium   | 252,029           | 392,010                              |
| 8. Total foreign exchange change in book/adjusted carrying value                    | 0                 | 0                                    |
| 9. Deduct current year's other than temporary impairment recognized                 | 0                 | 0                                    |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 109,952,733       | 105,806,413                          |
| 11. Deduct total nonadmitted amounts  | 0                 | 0                                    |
| 12. Statement value at end of current period (Line 10 minus Line 11)                | 109,952,733       | 105,806,413                          |

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

|                                     | 1<br>Book/Adjusted<br>Carrying Value<br>Beginning<br>of Current Quarter | 2<br>Acquisitions<br>During<br>Current Quarter | 3<br>Dispositions<br>During<br>Current Quarter | 4<br>Non-Trading Activity<br>During<br>Current Quarter | 5<br>Book/Adjusted<br>Carrying Value<br>End of<br>First Quarter | 6<br>Book/Adjusted<br>Carrying Value<br>End of<br>Second Quarter | 7<br>Book/Adjusted<br>Carrying Value<br>End of<br>Third Quarter | 8<br>Book/Adjusted<br>Carrying Value<br>December 31<br>Prior Year |
|-------------------------------------|---|--|--|--|---|--|---|---|
| <b>BONDS</b>                        |   |  |  |  |   |  |   |   |
| 1. Class 1 (a) .....                | 68,480,299  | 0  | 2,230,000                                      | (991,200)  | 67,375,917  | 68,480,299   | 65,259,099  | 63,125,836  |
| 2. Class 2 (a) .....                | 40,058,395  | 2,786,683                                      | 0  | 945,225  | 42,333,450  | 40,058,395   | 43,790,303  | 41,775,112  |
| 3. Class 3 (a) .....                | 904,051   | 0  | 0  | (720)  | 904,763   | 904,051  | 903,331   | 905,466   |
| 4. Class 4 (a) .....                | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 5. Class 5 (a) .....                | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 6. Class 6 (a) .....                | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 7. Total Bonds                      | 109,442,745   | 2,786,683                                      | 2,230,000                                      | (46,695)   | 110,614,130   | 109,442,745  | 109,952,733   | 105,806,414   |
| <b>PREFERRED STOCK</b>              |   |  |  |  |   |  |   |   |
| 8. Class 1 .....                    | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 9. Class 2 .....                    | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 10. Class 3 .....                   | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 11. Class 4 .....                   | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 12. Class 5 .....                   | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 13. Class 6 .....                   | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 14. Total Preferred Stock .....     | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 15. Total Bonds and Preferred Stock | 109,442,745   | 2,786,683                                      | 2,230,000                                      | (46,695)   | 110,614,130   | 109,442,745  | 109,952,733   | 105,806,414   |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ .....0 ; NAIC 2 \$ .....0 ; NAIC 3 \$ .....0 ; NAIC 4 \$ .....0 ; NAIC 5 \$ .....0 ; NAIC 6 \$ .....0

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Schedule DA - Part 1 - Short-Term Investments

**N O N E**

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

Schedule E - Verification - Cash Equivalents

**N O N E**

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

| 1<br>Loan Number   | 2 Location    |         | 4<br>Loan Type | 5<br>Date Acquired | 6<br>Rate of Interest | 7<br>Actual Cost at Time of Acquisition | 8<br>Additional Investment Made After Acquisition | 9<br>Value of Land and Buildings |
|--|---------------|---------|----------------|--------------------|-----------------------|---|---|----------------------------------|
|  | City          | 3 State |                |                    |                       |   |   |                                  |
| B2031306   | TUCSON        | AZ      |                | 07/11/2012         | 5.350                 | 980,000                                 | .0  | 1,318,100                        |
| B2042601   | INGLEWOOD     | CA      |                | 07/11/2012         | 4.375                 | 318,500                                 | .0  | 1,002,540                        |
| B2051405   | LAFAYETTE     | CA      |                | 08/09/2012         | 4.875                 | 552,475                                 | .0  | 769,406                          |
| B2041301   | SANTA BARBARA | CA      |                | 08/09/2012         | 5.125                 | 721,600                                 | .0  | 973,258                          |
| B2031401   | VAN NUYS      | CA      |                | 08/09/2012         | 4.875                 | 524,062                                 | .0  | 700,854                          |
| B2042302   | COMMERCE CITY | CO      |                | 08/09/2012         | 5.250                 | 428,450                                 | .0  | 578,182                          |
| B1082207   | GENEVA        | IL      |                | 07/11/2012         | 5.500                 | 612,500                                 | .0  | 869,750                          |
| B2041206   | BRENTWOOD     | MD      |                | 08/09/2012         | 5.250                 | 270,600                                 | .0  | 426,195                          |
| B2042402   | SAN ANTONIO   | TX      |                | 08/09/2012         | 5.375                 | 500,610                                 | .0  | 726,110                          |
| 0599999. Mortgages in good standing - Commercial mortgages-all other |               |         |                |                    |                       | 4,908,797                               | 0   | 7,364,395                        |
| 0899999. Total Mortgages in good standing                            |               |         |                |                    |                       | 4,908,797                               | 0   | 7,364,395                        |
| 1699999. Total - Restructured Mortgages                              |               |         |                |                    |                       | 0                                       | 0   | 0                                |
| 2499999. Total - Mortgages with overdue interest over 90 days        |               |         |                |                    |                       | 0                                       | 0   | 0                                |
| 3299999. Total - Mortgages in the process of foreclosure             |               |         |                |                    |                       | 0                                       | 0   | 0                                |
| 3399999 - Totals   |               |         |                |                    |                       | 4,908,797                               | 0   | 7,364,395                        |

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

| 1<br>Loan Number                       | 2 Location   |         | 4<br>Loan Type | 5<br>Date Acquired | 6<br>Disposal Date | 7<br>Book Value/Recorded Investment Excluding Accrued Interest Prior Year | 8 Change in Book Value/Recorded Investment    |   |   |   |  |   | 14<br>Book Value/Recorded Investment Excluding Accrued Interest on Disposal | 15<br>Consid-eration | 16<br>Foreign Exchange Gain (Loss) on Disposal | 17<br>Realized Gain (Loss) on Disposal | 18<br>Total Gain (Loss) on Disposal |
|--|--------------|---------|----------------|--------------------|--------------------|---|---|---|---|---|--|---|---|----------------------|--|--|-------------------------------------|
|  | City         | 3 State |                |                    |                    |   | 8<br>Unrealized Valuation Increase (Decrease) | 9<br>Current Year's (Amortization) /Accretion | 10<br>Current Year's Other Than Temporary Impairment Recognized | 11<br>Capitalized Deferred Interest and Other | 12<br>Total Change in Book Value (8+9-10+11) | 13<br>Total Foreign Exchange Change in Book Value |   |                      |  |  |                                     |
| A4122703                               | PEORIA       | AZ      |                | 03/16/2005         | 09/26/2012         | 607,083   | .0  | .0  | .0  | .0  | .0   | .0  | 607,083   | 607,083              | .0   | .0                                     | .0                                  |
| A7091003                               | TEMPE        | AZ      |                | 12/14/2007         | 07/02/2012         | 262,114   | .0  | .0  | .0  | .0  | .0   | .0  | 262,114   | 262,114              | .0   | .0                                     | .0                                  |
| A2071803                               | CENTENNIAL   | CO      |                | 10/01/2002         | 08/08/2012         | 78,007  | .0  | .0  | .0  | .0  | .0   | .0  | 78,007  | 78,007               | .0   | .0                                     | .0                                  |
| A2072602                               | ANNAPOLIS    | MD      |                | 11/12/2002         | 08/21/2012         | 77,813  | .0  | .0  | .0  | .0  | .0   | .0  | 77,813  | 77,813               | .0   | .0                                     | .0                                  |
| A2081604                               | COMMACK      | NY      |                | 11/12/2002         | 08/02/2012         | 74,694  | .0  | .0  | .0  | .0  | .0   | .0  | 74,694  | 74,694               | .0   | .0                                     | .0                                  |
| A2080101                               | BOERNE       | TX      |                | 10/01/2002         | 09/12/2012         | 76,623  | .0  | .0  | .0  | .0  | .0   | .0  | 76,623  | 76,623               | .0   | .0                                     | .0                                  |
| A2072402                               | OGDEN        | UT      |                | 09/03/2002         | 08/01/2012         | 63,318  | .0  | .0  | .0  | .0  | .0   | .0  | 63,318  | 63,318               | .0   | .0                                     | .0                                  |
| 0199999. Mortgages closed by repayment |              |         |                |                    |                    | 1,239,652   | 0   | 0   | 0   | 0   | 0  | 0   | 1,239,652   | 1,239,652            | 0  | 0                                      | 0                                   |
| A8041402                               | GADSDEN      | AL      |                | 07/14/2008         |                    | 4,440   | .0  | .0  | .0  | .0  | .0   | .0  | 4,440   | 4,440                | .0   | .0                                     | .0                                  |
| B1112903                               | MOBILE       | AL      |                | 05/10/2012         |                    | .0  | .0  | .0  | .0  | .0  | .0   | .0  | .0  | .0                   | .0   | .0                                     | .0                                  |
| B2021303                               | AVONDALE     | AZ      |                | 05/10/2012         |                    | .0  | .0  | .0  | .0  | .0  | .0   | .0  | .0  | .0                   | .0   | .0                                     | .0                                  |
| A9042402                               | CHANDLER     | AZ      |                | 08/03/2009         |                    | 3,273   | .0  | .0  | .0  | .0  | .0   | .0  | 3,273   | 3,273                | .0   | .0                                     | .0                                  |
| A4121502                               | GILBERT      | AZ      |                | 03/16/2005         |                    | 4,456   | .0  | .0  | .0  | .0  | .0   | .0  | 4,456   | 4,456                | .0   | .0                                     | .0                                  |
| B0120303                               | MESA         | AZ      |                | 02/09/2011         |                    | 2,583   | .0  | .0  | .0  | .0  | .0   | .0  | 2,583   | 2,583                | .0   | .0                                     | .0                                  |
| A9021301                               | PHOENIX      | AZ      |                | 08/03/2009         |                    | 863   | .0  | .0  | .0  | .0  | .0   | .0  | 863   | 863                  | .0   | .0                                     | .0                                  |
| A9070201                               | PHOENIX      | AZ      |                | 09/10/2009         |                    | 1,365   | .0  | .0  | .0  | .0  | .0   | .0  | 1,365   | 1,365                | .0   | .0                                     | .0                                  |
| A6081605                               | PHOENIX      | AZ      |                | 11/15/2006         |                    | 3,762   | .0  | .0  | .0  | .0  | .0   | .0  | 3,762   | 3,762                | .0   | .0                                     | .0                                  |
| A4102802                               | SAN LUIS     | AZ      |                | 04/21/2005         |                    | 7,565   | .0  | .0  | .0  | .0  | .0   | .0  | 7,565   | 7,565                | .0   | .0                                     | .0                                  |
| B0120809                               | SCOTTSDALE   | AZ      |                | 02/09/2011         |                    | 2,110   | .0  | .0  | .0  | .0  | .0   | .0  | 2,110   | 2,110                | .0   | .0                                     | .0                                  |
| A6103103                               | TEMPE        | AZ      |                | 01/16/2007         |                    | 3,978   | .0  | .0  | .0  | .0  | .0   | .0  | 3,978   | 3,978                | .0   | .0                                     | .0                                  |
| B2031306                               | TUCSON       | AZ      |                | 07/11/2012         |                    | .0  | .0  | .0  | .0  | .0  | .0   | .0  | 3,131   | 3,131                | .0   | .0                                     | .0                                  |
| A9010702                               | YUMA         | AZ      |                | 03/12/2009         |                    | 2,403   | .0  | .0  | .0  | .0  | .0   | .0  | 2,403   | 2,403                | .0   | .0                                     | .0                                  |
| B1030702                               | ANTELOPE     | CA      |                | 06/09/2011         |                    | 2,547   | .0  | .0  | .0  | .0  | .0   | .0  | 2,547   | 2,547                | .0   | .0                                     | .0                                  |
| A9030422                               | APPLE VALLEY | CA      |                | 06/01/2009         |                    | 1,949   | .0  | .0  | .0  | .0  | .0   | .0  | 1,949   | 1,949                | .0   | .0                                     | .0                                  |











STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

| 1<br>Loan Number                           | 2 Location       |            | 4<br>Loan Type | 5<br>Date Acquired | 6<br>Disposal Date | 7<br>Book Value/<br>Recorded Investment<br>Excluding<br>Accrued Interest<br>Prior Year | 8 Change in Book Value/Recorded Investment             |  |  |  |  | 14<br>Book Value/<br>Recorded Investment<br>Excluding<br>Accrued Interest on<br>Disposal | 15<br>Consid-<br>eration | 16<br>Foreign<br>Exchange<br>Gain<br>(Loss) on<br>Disposal | 17<br>Realized<br>Gain<br>(Loss) on<br>Disposal | 18<br>Total<br>Gain<br>(Loss) on<br>Disposal |  |
|--|------------------|------------|----------------|--------------------|--------------------|--|--|--|--|--|--|--|--------------------------|--|---|--|--|
|  | 2<br>City        | 3<br>State |                |                    |                    |  | 8<br>Unrealized<br>Valuation<br>Increase<br>(Decrease) | 9<br>Current<br>Year's<br>(Amortization)<br>/Accretion | 10<br>Current<br>Year's Other<br>Than<br>Temporary<br>Impairment<br>Recognized | 11<br>Capitalized<br>Deferred<br>Interest and<br>Other | 12<br>Total<br>Change<br>in<br>Book Value<br>(8+9-10+11) |  |                          |  |   |  | 13<br>Total Foreign<br>Exchange<br>Change in<br>Book Value |
| A9052101                                   | WEST VALLEY CITY | UT         |                | 08/03/2009         |                    | 3,084  | 0  | 0  | 0  | 0  | 0  | 0  | 3,084                    | 3,084  | 0   | 0  | 0  |
| A8122302                                   | CHESAPEAKE       | VA         |                | 03/12/2009         |                    | 4,995  | 0  | 0  | 0  | 0  | 0  | 0  | 4,995                    | 4,995  | 0   | 0  | 0  |
| B2011801                                   | FAIRFAX CITY     | VA         |                | 05/10/2012         |                    | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 1,269                    | 1,269  | 0   | 0  | 0  |
| B0040507                                   | MIDLOTHIAN       | VA         |                | 08/10/2010         |                    | 1,411  | 0  | 0  | 0  | 0  | 0  | 0  | 1,411                    | 1,411  | 0   | 0  | 0  |
| B1031005                                   | RICHMOND         | VA         |                | 06/09/2011         |                    | 2,083  | 0  | 0  | 0  | 0  | 0  | 0  | 2,083                    | 2,083  | 0   | 0  | 0  |
| A1021506                                   | WILLIAMSBURG     | VA         |                | 06/01/2001         |                    | 293  | 0  | 0  | 0  | 0  | 0  | 0  | 293                      | 293  | 0   | 0  | 0  |
| B0120811                                   | EDMONDS          | WA         |                | 04/11/2011         |                    | 1,354  | 0  | 0  | 0  | 0  | 0  | 0  | 1,354                    | 1,354  | 0   | 0  | 0  |
| B1082501                                   | EVERETT          | WA         |                | 01/25/2012         |                    | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 2,825                    | 2,825  | 0   | 0  | 0  |
| A9050801                                   | EVERETT          | WA         |                | 08/17/2009         |                    | 5,685  | 0  | 0  | 0  | 0  | 0  | 0  | 5,685                    | 5,685  | 0   | 0  | 0  |
| A8052801                                   | MUKILTEO         | WA         |                | 09/11/2008         |                    | 3,577  | 0  | 0  | 0  | 0  | 0  | 0  | 3,577                    | 3,577  | 0   | 0  | 0  |
| A8011103                                   | RICHLAND         | WA         |                | 06/12/2008         |                    | 4,505  | 0  | 0  | 0  | 0  | 0  | 0  | 4,505                    | 4,505  | 0   | 0  | 0  |
| A8011104                                   | SEATTLE          | WA         |                | 03/14/2008         |                    | 3,176  | 0  | 0  | 0  | 0  | 0  | 0  | 3,176                    | 3,176  | 0   | 0  | 0  |
| A6062001                                   | SEATTLE          | WA         |                | 10/16/2006         |                    | 3,286  | 0  | 0  | 0  | 0  | 0  | 0  | 3,286                    | 3,286  | 0   | 0  | 0  |
| B0061103                                   | SEATTLE          | WA         |                | 09/07/2010         |                    | 1,833  | 0  | 0  | 0  | 0  | 0  | 0  | 1,833                    | 1,833  | 0   | 0  | 0  |
| A8061209                                   | SHORELINE        | WA         |                | 09/11/2008         |                    | 2,187  | 0  | 0  | 0  | 0  | 0  | 0  | 2,187                    | 2,187  | 0   | 0  | 0  |
| A9042802                                   | TUKWILA          | WA         |                | 08/17/2009         |                    | 1,903  | 0  | 0  | 0  | 0  | 0  | 0  | 1,903                    | 1,903  | 0   | 0  | 0  |
| A7022004                                   | VANCOUVER        | WA         |                | 06/18/2007         |                    | 2,628  | 0  | 0  | 0  | 0  | 0  | 0  | 2,628                    | 2,628  | 0   | 0  | 0  |
| 0299999. Mortgages with partial repayments |                  |            |                |                    |                    | 796,173  | 0  | (129)  | 0  | 0  | (129)  | 0  | 850,333                  | 850,333  | 0   | 0  | 0  |
| 0599999 - Totals                           |                  |            |                |                    |                    | 2,035,825  | 0  | (129)  | 0  | 0  | (129)  | 0  | 2,089,985                | 2,089,985  | 0   | 0  | 0  |

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1<br>CUSIP<br>Identification  | 2<br>Description                     | 3<br>Foreign | 4<br>Date<br>Acquired | 5<br>Name of Vendor     | 6<br>Number of<br>Shares of<br>Stock | 7<br>Actual Cost | 8<br>Par Value | 9<br>Paid for Accrued<br>Interest and<br>Dividends | 10<br>NAIC Desig-<br>nation or<br>Market<br>Indicator<br>(a) |
|---|--------------------------------------|--------------|-----------------------|-------------------------|--------------------------------------|------------------|----------------|--|--|
| 683506-BG-1   | PPG INDUSTRIES INC, M/W SR UNSECURED |              | 07/31/2012            | GOLDMAN SACHS & COMPANY |                                      | 1,006,040        | 1,000,000      | 0  | 2FE  |
| 00101J-AA-4   | ADT CORP, 144A M/W SR UNSECURED      | R.           | 07/24/2012            | CANTOR FITZGERALD       |                                      | 1,780,643        | 1,750,000      | 2,406  | 2FE  |
| 3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) |                                      |              |                       |                         |                                      | 2,786,683        | 2,750,000      | 2,406  | XXX  |
| 8399997. Total - Bonds - Part 3   |                                      |              |                       |                         |                                      | 2,786,683        | 2,750,000      | 2,406  | XXX  |
| 8399998. Total - Bonds - Part 5   |                                      |              |                       |                         |                                      | XXX              | XXX            | XXX  | XXX  |
| 8399999. Total - Bonds  |                                      |              |                       |                         |                                      | 2,786,683        | 2,750,000      | 2,406  | XXX  |
| 8999997. Total - Preferred Stocks - Part 3                              |                                      |              |                       |                         |                                      | 0                | XXX            | 0  | XXX  |
| 8999998. Total - Preferred Stocks - Part 5                              |                                      |              |                       |                         |                                      | XXX              | XXX            | XXX  | XXX  |
| 8999999. Total - Preferred Stocks                                       |                                      |              |                       |                         |                                      | 0                | XXX            | 0  | XXX  |
| 9799997. Total - Common Stocks - Part 3                                 |                                      |              |                       |                         |                                      | 0                | XXX            | 0  | XXX  |
| 9799998. Total - Common Stocks - Part 5                                 |                                      |              |                       |                         |                                      | XXX              | XXX            | XXX  | XXX  |
| 9799999. Total - Common Stocks  |                                      |              |                       |                         |                                      | 0                | XXX            | 0  | XXX  |
| 9899999. Total - Preferred and Common Stocks                            |                                      |              |                       |                         |                                      | 0                | XXX            | 0  | XXX  |
| 9999999 - Totals  |                                      |              |                       |                         |                                      | 2,786,683        | XXX            | 2,406  | XXX  |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1   | 2                                   | 3       | 4             | 5                                    | 6                         | 7             | 8         | 9           | 10                                      | Change In Book/Adjusted Carrying Value   |   |  |   |  | 16  | 17                                       | 18                               | 19                            | 20   | 21                               | 22                                       |     |     |
|---|-------------------------------------|---------|---------------|--------------------------------------|---------------------------|---------------|-----------|-------------|---|--|---|--|---|--|---|--|----------------------------------|-------------------------------|--|----------------------------------|--|-----|-----|
|   |                                     |         |               |                                      |                           |               |           |             |   | 11                                       | 12                                      | 13   | 14  | 15   |   |  |                                  |                               |  |                                  |  |     |     |
| CUSIP Identification  | Description                         | Foreign | Disposal Date | Name of Purchaser                    | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase/(Decrease) | Current Year's (Amortization)/Accretion | Other Than Temporary Impairment Recognized | Total Change in Book/Adjusted Carrying Value (11 + 12 - 13) | Total Foreign Exchange Change in Book /Adjusted Carrying Value | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest/Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) |     |     |
| 278058-AX-0   | EATON CORP. NOTE                    |         | 07/15/2012    | MATURITY CALLED BY ISSUER at 100.000 |                           | 425,000       | 425,000   | 428,052     | 425,311                                 | 0  | (311)                                   | 0  | (311)   | 0  | 425,000                                       | 0  | 0                                | 0                             | 24,438   | 07/15/2012                       | 1FE                                      |     |     |
| 39121J-AH-3   | GREAT RIVER ENERGY 144A 1ST MTGE    |         | 07/02/2012    |                                      |                           | 20,000        | 20,000    | 20,000      | 20,000                                  | 0  | 0                                       | 0  | 0   | 0  | 20,000  | 0  | 0                                | 0                             | 896  | 07/01/2030                       | 1FE                                      |     |     |
| 59018Y-J3-6   | MERRILL LYNCH & CO. SR UNSEC        |         | 08/15/2012    | MATURITY                             |                           | 885,000       | 885,000   | 883,761     | 884,825                                 | 0  | 175                                     | 0  | 175   | 0  | 885,000                                       | 0  | 0                                | 0                             | 53,543   | 08/15/2012                       | 1FE                                      |     |     |
| 617446-V8-9   | MORGAN STANLEY GROUP, SR UNSEC, MTN |         | 08/31/2012    | MATURITY                             |                           | 900,000       | 900,000   | 899,946     | 899,992                                 | 0  | 8                                       | 0  | 8   | 0  | 900,000                                       | 0  | 0                                | 0                             | 51,750   | 08/31/2012                       | 1FE                                      |     |     |
| 3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) |                                     |         |               |                                      |                           | 2,230,000     | 2,230,000 | 2,231,759   | 2,230,128                               | 0  | (128)                                   | 0  | (128)   | 0  | 2,230,000                                     | 0  | 0                                | 0                             | 130,627  | XXX                              | XXX                                      |     |     |
| 8399997. Total - Bonds - Part 4   |                                     |         |               |                                      |                           | 2,230,000     | 2,230,000 | 2,231,759   | 2,230,128                               | 0  | (128)                                   | 0  | (128)   | 0  | 2,230,000                                     | 0  | 0                                | 0                             | 130,627  | XXX                              | XXX                                      |     |     |
| 8399998. Total - Bonds - Part 5   |                                     |         |               |                                      |                           | XXX           | XXX       | XXX         | XXX                                     | XXX                                      | XXX                                     | XXX  | XXX   | XXX  | XXX   | XXX                                      | XXX                              | XXX                           | XXX  | XXX                              | XXX                                      | XXX |     |
| 8399999. Total - Bonds  |                                     |         |               |                                      |                           | 2,230,000     | 2,230,000 | 2,231,759   | 2,230,128                               | 0  | (128)                                   | 0  | (128)   | 0  | 2,230,000                                     | 0  | 0                                | 0                             | 130,627  | XXX                              | XXX                                      |     |     |
| 8999997. Total - Preferred Stocks - Part 4                              |                                     |         |               |                                      |                           | 0             | XXX       | 0           | 0                                       | 0  | 0                                       | 0  | 0   | 0  | 0   | 0  | 0                                | 0                             | 0  | 0                                | 0  | XXX | XXX |
| 8999998. Total - Preferred Stocks - Part 5                              |                                     |         |               |                                      |                           | XXX           | XXX       | XXX         | XXX                                     | XXX                                      | XXX                                     | XXX  | XXX   | XXX  | XXX   | XXX                                      | XXX                              | XXX                           | XXX  | XXX                              | XXX                                      | XXX | XXX |
| 8999999. Total - Preferred Stocks                                       |                                     |         |               |                                      |                           | 0             | XXX       | 0           | 0                                       | 0  | 0                                       | 0  | 0   | 0  | 0   | 0  | 0                                | 0                             | 0  | 0                                | 0  | XXX | XXX |
| 9799997. Total - Common Stocks - Part 4                                 |                                     |         |               |                                      |                           | 0             | XXX       | 0           | 0                                       | 0  | 0                                       | 0  | 0   | 0  | 0   | 0  | 0                                | 0                             | 0  | 0                                | 0  | XXX | XXX |
| 9799998. Total - Common Stocks - Part 5                                 |                                     |         |               |                                      |                           | XXX           | XXX       | XXX         | XXX                                     | XXX                                      | XXX                                     | XXX  | XXX   | XXX  | XXX   | XXX                                      | XXX                              | XXX                           | XXX  | XXX                              | XXX                                      | XXX | XXX |
| 9799999. Total - Common Stocks  |                                     |         |               |                                      |                           | 0             | XXX       | 0           | 0                                       | 0  | 0                                       | 0  | 0   | 0  | 0   | 0  | 0                                | 0                             | 0  | 0                                | 0  | XXX | XXX |
| 9899999. Total - Preferred and Common Stocks                            |                                     |         |               |                                      |                           | 0             | XXX       | 0           | 0                                       | 0  | 0                                       | 0  | 0   | 0  | 0   | 0  | 0                                | 0                             | 0  | 0                                | 0  | XXX | XXX |
| 9999999 - Totals  |                                     |         |               |                                      |                           | 2,230,000     | XXX       | 2,231,759   | 2,230,128                               | 0  | (128)                                   | 0  | (128)   | 0  | 2,230,000                                     | 0  | 0                                | 0                             | 0  | 130,627                          | XXX                                      | XXX |     |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part D - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**



**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

| 1<br>Description                 | 2<br>Code | 3<br>Date Acquired | 4<br>Rate of Interest | 5<br>Maturity Date | 6<br>Book/Adjusted<br>Carrying Value | 7<br>Amount of Interest<br>Due and Accrued | 8<br>Amount Received<br>During Year |
|----------------------------------|-----------|--------------------|-----------------------|--------------------|--------------------------------------|--|-------------------------------------|
| <b>NONE</b>                      |           |                    |                       |                    |                                      |  |                                     |
| 8699999 - Total Cash Equivalents |           |                    |                       |                    |                                      |  |                                     |